

# The Use of High Vertical-Resolution Radiosonde Data (HVRRD) in U.S. Navy NWP: Characteristics and Quality Control of Operationally Available HVRRD

**Patricia Pauley and Justin Tsu**

Naval Research Laboratory, Monterey, California, USA



# The Use of High Vertical-Resolution Radiosonde Data (HVRRD) in U.S. Navy NWP: Characteristics and Quality Control of Operationally Available HVRRD

(What could possibly go wrong?!)

**Patricia Pauley and Justin Tsu**

Naval Research Laboratory, Monterey, California, USA



# Outline

- **Background: traditional vs. high (vertical) resolution radiosonde data**
- **Radiosonde data in U.S. Navy numerical weather prediction**
- **Examples of errors in high resolution radiosonde data**
- **Upcoming changes**

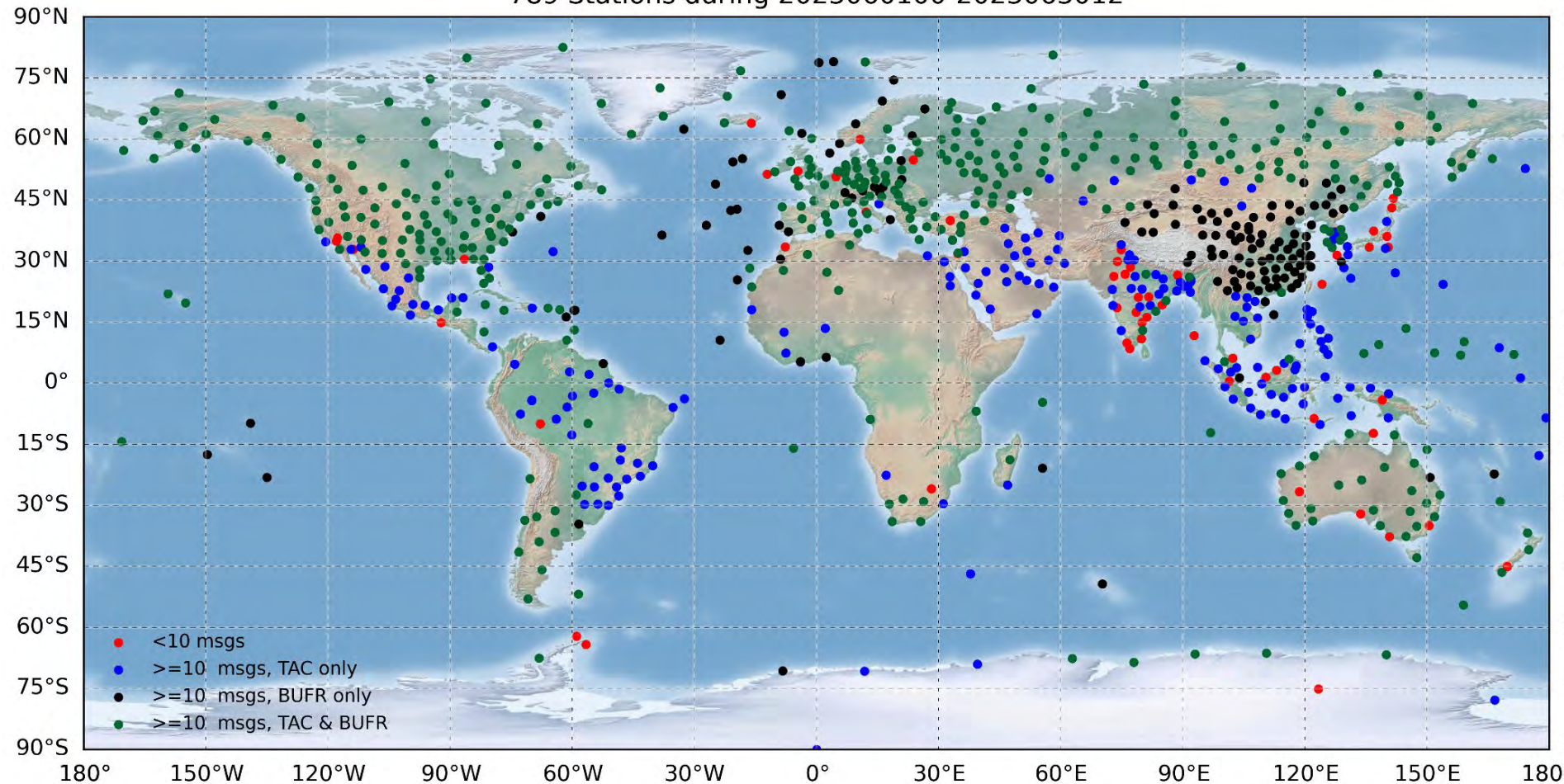
# Outline

- **Background: traditional vs. high (vertical) resolution radiosonde data**
  - Focus on data operationally available on the Global Telecommunications System (GTS)
  - TAC to BUFR migration and its impact on vertical resolution
  - Examples of high and low vertical resolution from BUFR messages
- Radiosonde data in U.S. Navy numerical weather prediction
- Examples of errors in high resolution radiosonde data
- Upcoming changes



# TAC to BUFR Migration

789 Stations during 2023060100-2023063012



- Data for June 2023 as received and decoded at FNMOG
- Many stations still report in TAC only, especially in the tropics (blue dots)
- Most stations report in both BUFR and TAC (green dots) => need selection process or duplicate check
- Some stations report in BUFR only (black dots)
  - China
  - Ships
  - Some sites in Europe
  - Some islands

Most stations report twice per day (00Z, 12Z), some once per day, some four times per day

# Vertical Resolution

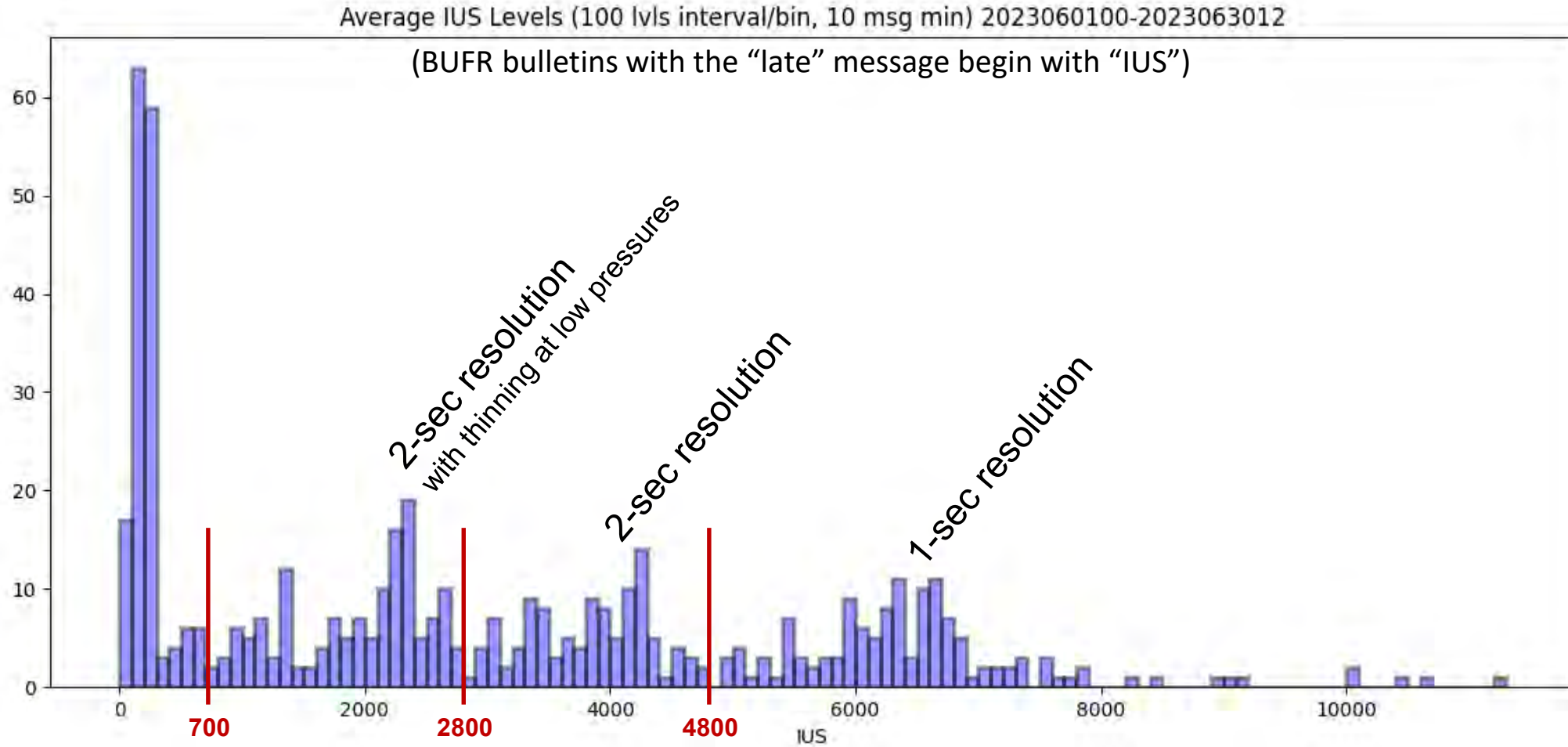
- **Level selection for TAC**

- Mandatory levels—specified values of pressure
  - e.g., 1000, 925, 850, 700, 500, 400, 300, 250, 200, 150, 100, 70, 50, 30, 20, 10, 7, 5 hPa
  - Each level includes pressure, geopotential height, temperature, humidity, winds
- Significant levels—levels needed to reconstruct the profile piecewise linearly within a tolerance
  - Determined separately for temperature/humidity and wind speed/direction

- **Level selection for BUFR**

- Levels typically spaced by time—1 sec (~5 m), 2 sec (~10 m), etc.
- Some BUFR messages originally reformatted from TAC
  - not as commonly done now, but some countries send both high-res BUFR and BUFR reformatted from TAC
- Each level includes all variables--pressure, geopotential height, temperature, humidity, winds
- Most include a level type designator corresponding to mandatory or significant levels (or “other”)
- Most also include drift times and latitude/longitude offsets
  - Added to launch time and location to use the actual location of the balloon in time and space

# BUFR Vertical Resolution

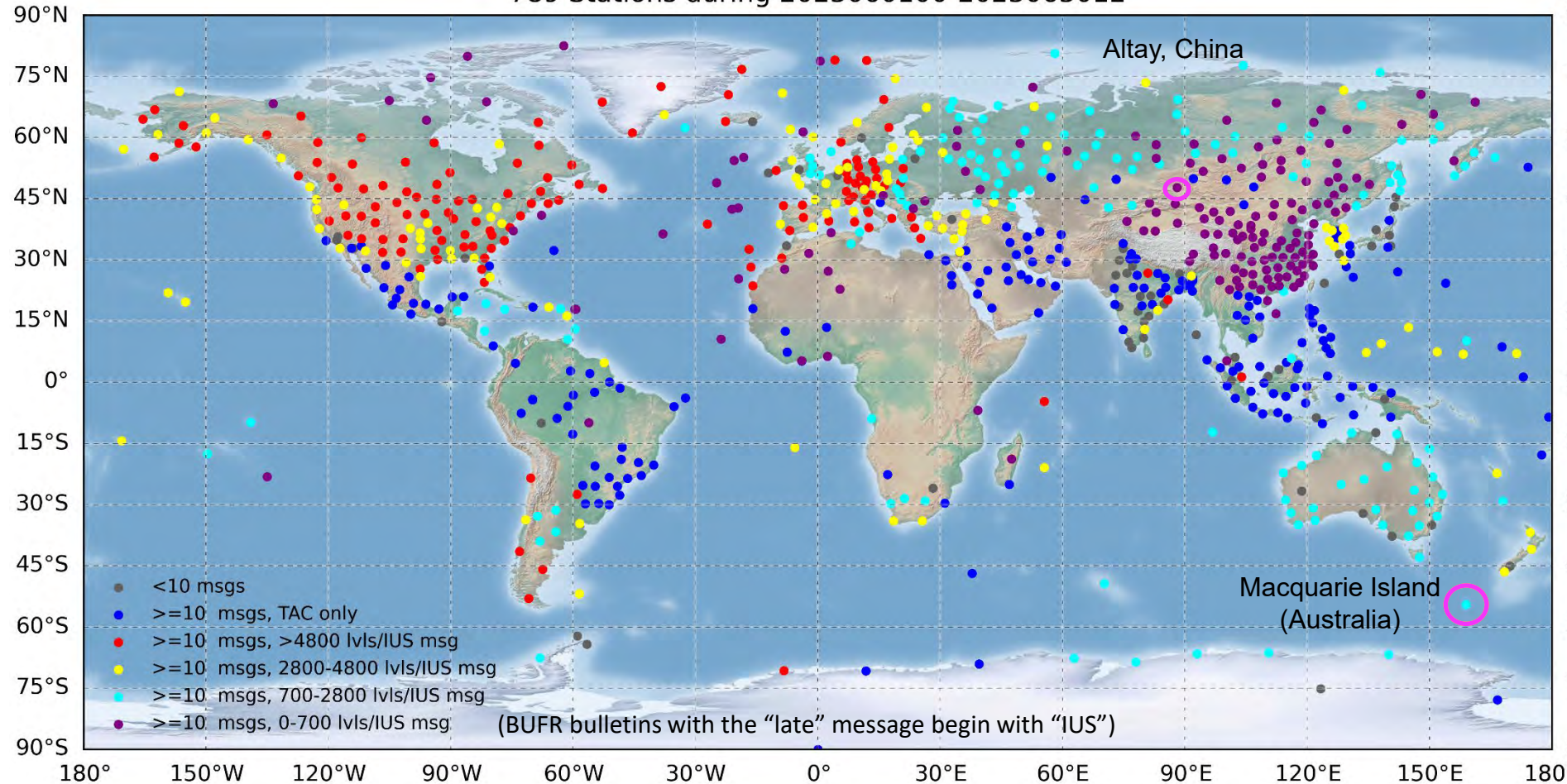


Average number of BUFR levels by station is related to vertical resolution and extent  
Usually related to radiosonde type or country



# BUFR Vertical Resolution

789 Stations during 2023060100-2023063012

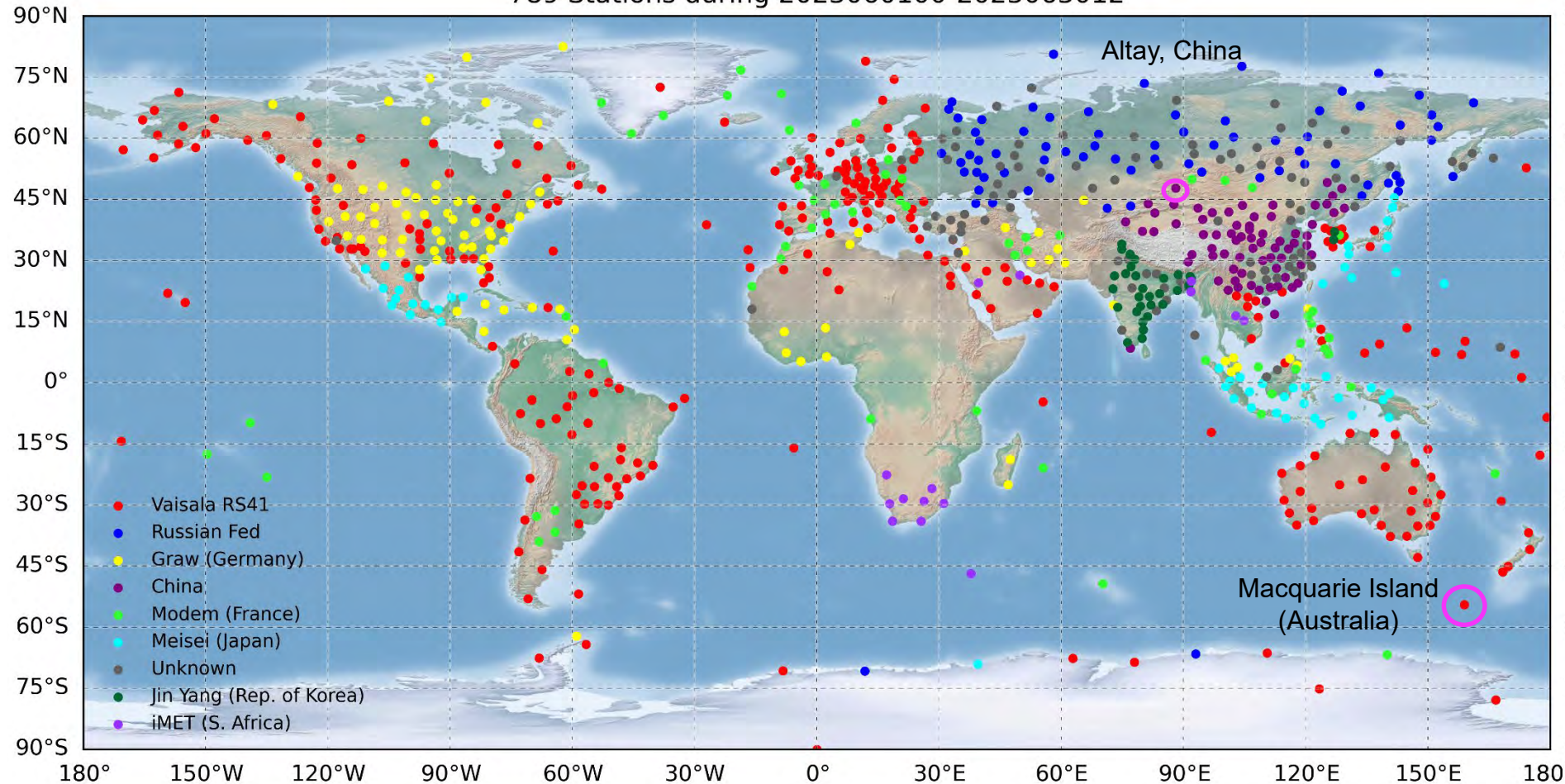


- Highest vertical resolution (red or yellow) concentrated in North America, Europe
- Soundings from Russia, Australia, Caribbean still considered high resolution (light blue)
- Soundings from China, some Russian stations, the Canadian Arctic, and some ships considered low resolution (purple) but typically still higher resolution than TAC

Examples shown for RS41 sounding from Macquarie Island, Australia (94998) and GTS13 sounding from Altay, China

# Radiosonde Types

789 Stations during 2023060100-2023063012

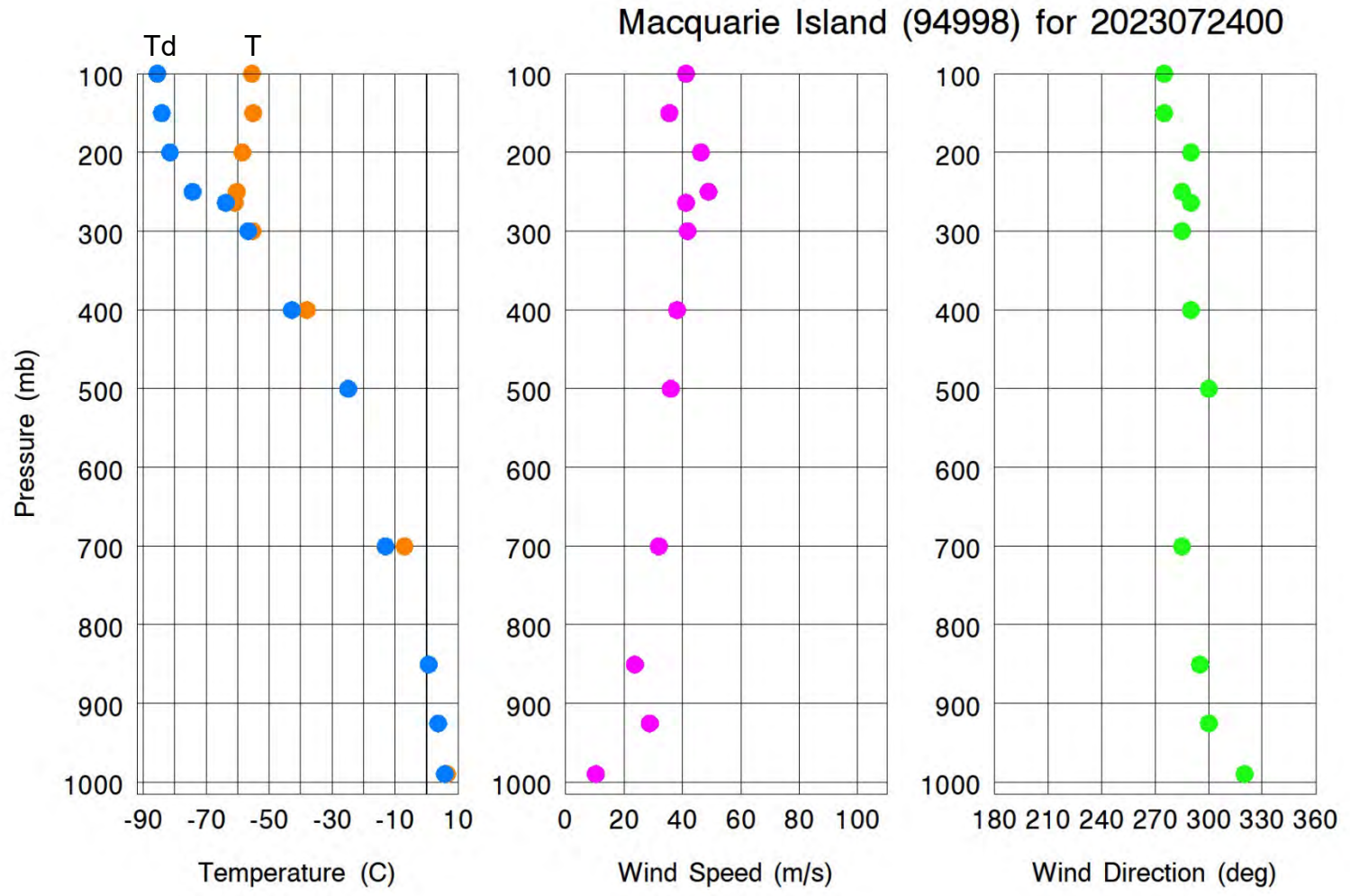


- Vaisala RS41 sondes most widely used—can be configured in various vertical resolutions
- Graw, Modem, Meisei, Jin Yang sondes used in multiple countries
- Multiple types used by Russian Federation and China, including some radar-based sondes
- Many stations in Russian Federation and in China report missing or unknown values for radiosonde type

Examples shown for RS41 sounding from Macquarie Island, Australia (94998) and GTS13 sounding from Altay, China

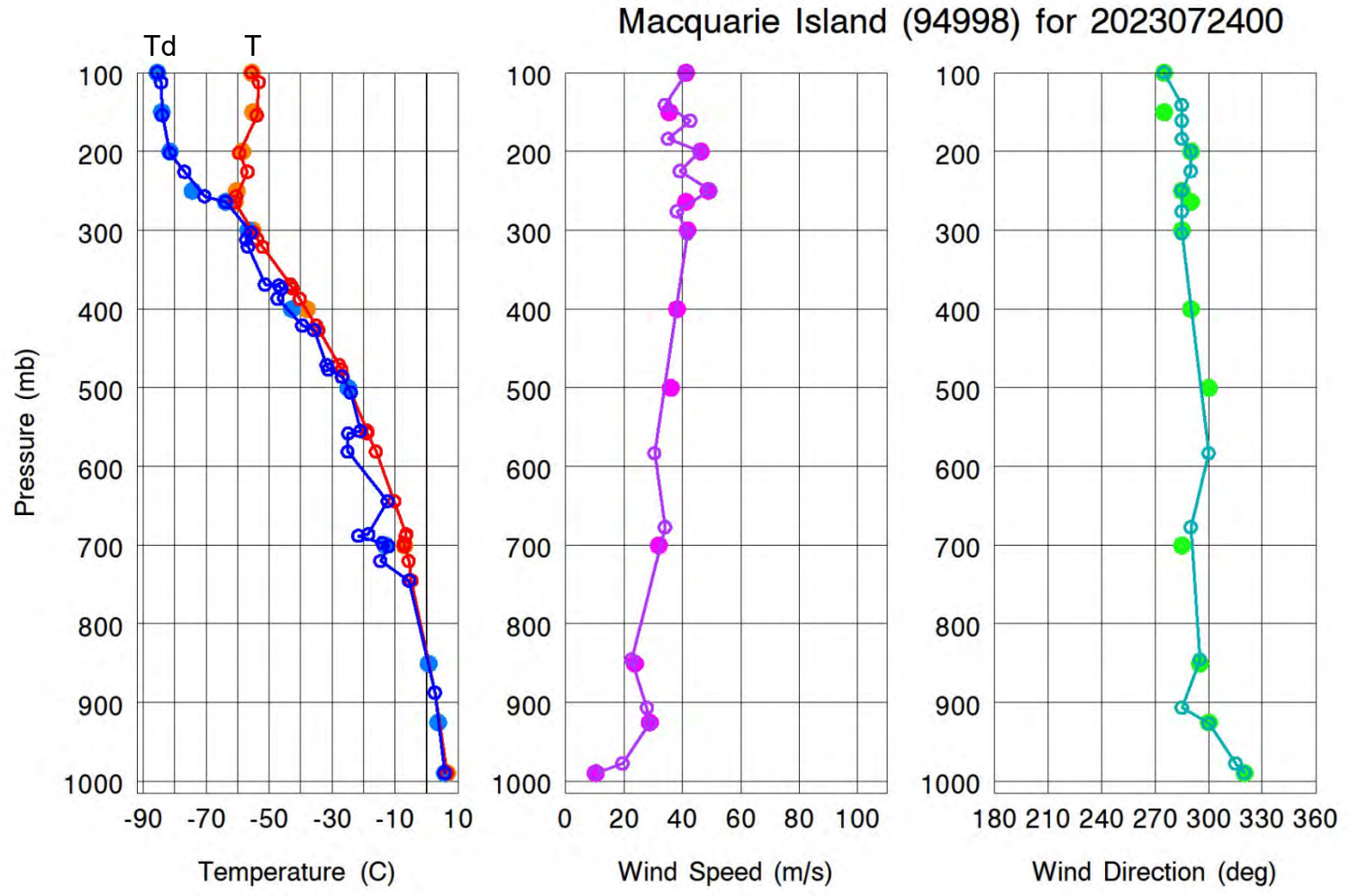
# Sounding Example

- Mandatory levels at specified pressures (~20 levels total)



Below 100 hPa: Mandatory-level data

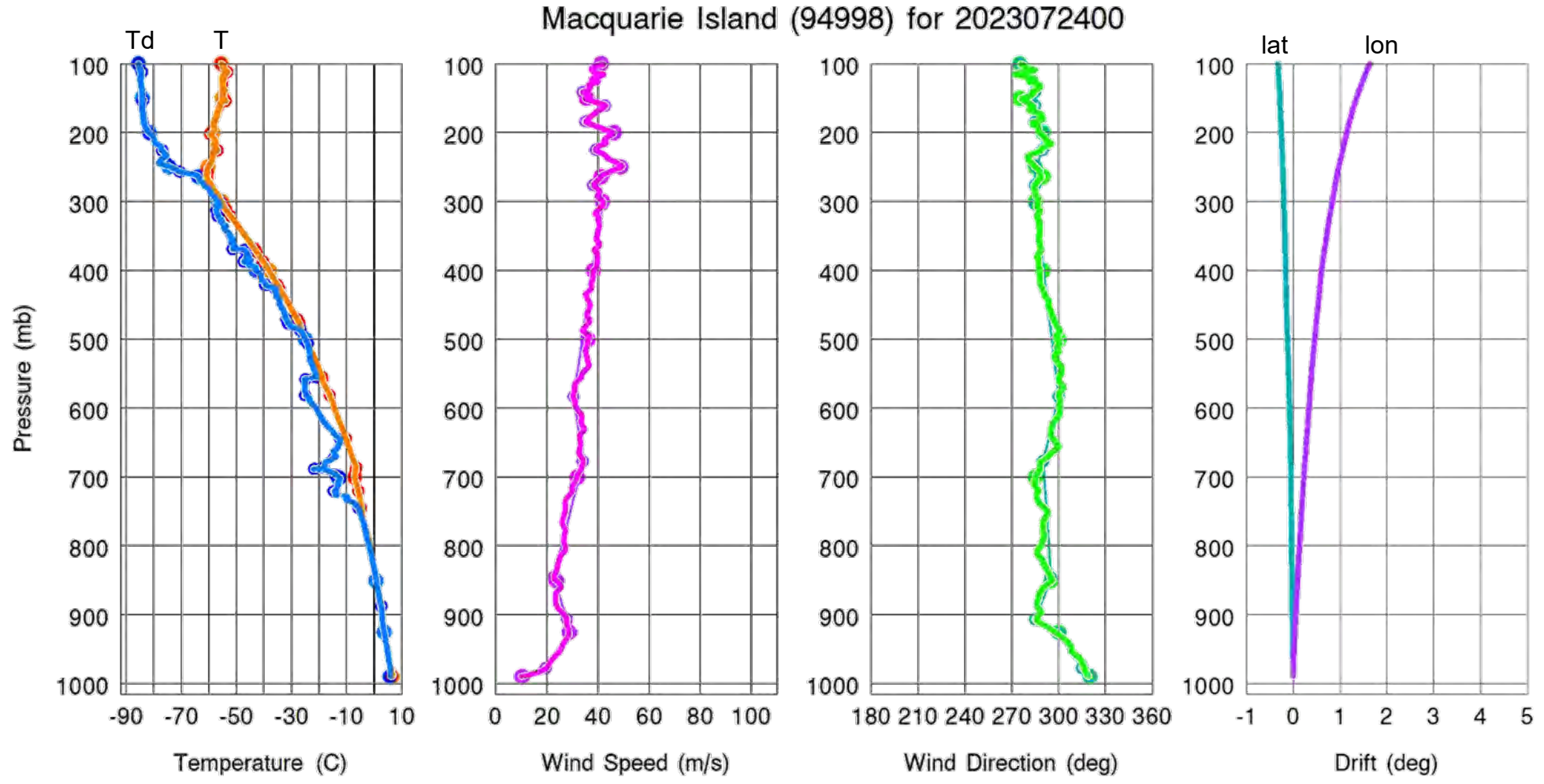
# Sounding Example



- Mandatory levels at specified pressures (~20 levels total)
- Significant levels add change points (~100 levels total)

Below 100 hPa: Mandatory-level data + significant-level data

# Sounding Example

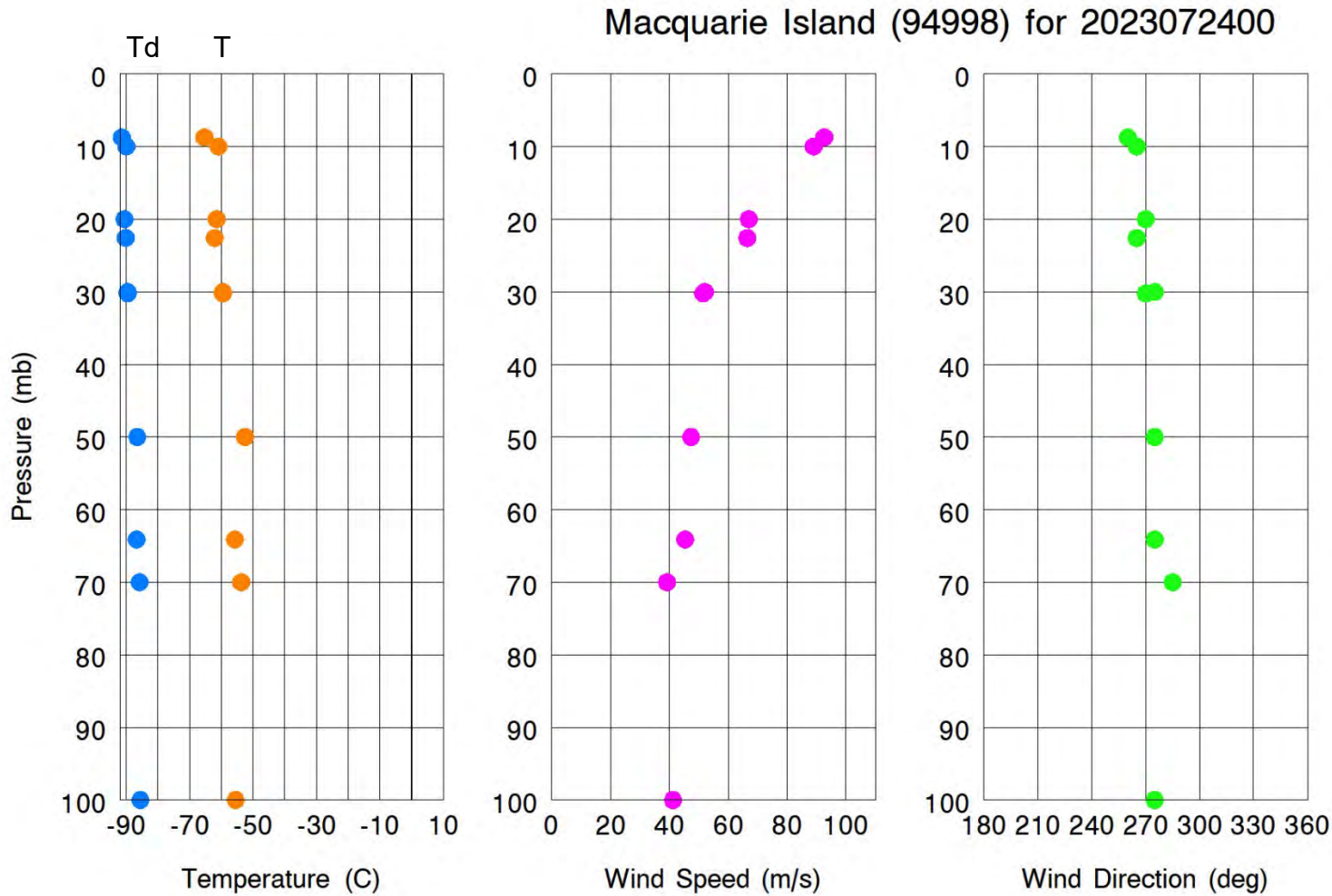


- Mandatory levels at specified pressures (~20 levels total)
- Significant levels add change points (~100 levels total)
- BUFR levels at 2-sec intervals up to ~70 hPa and 0.1 hPa intervals above that (~2500 levels total)

Below 100 hPa: Mandatory-level data + significant-level data + BUFR data

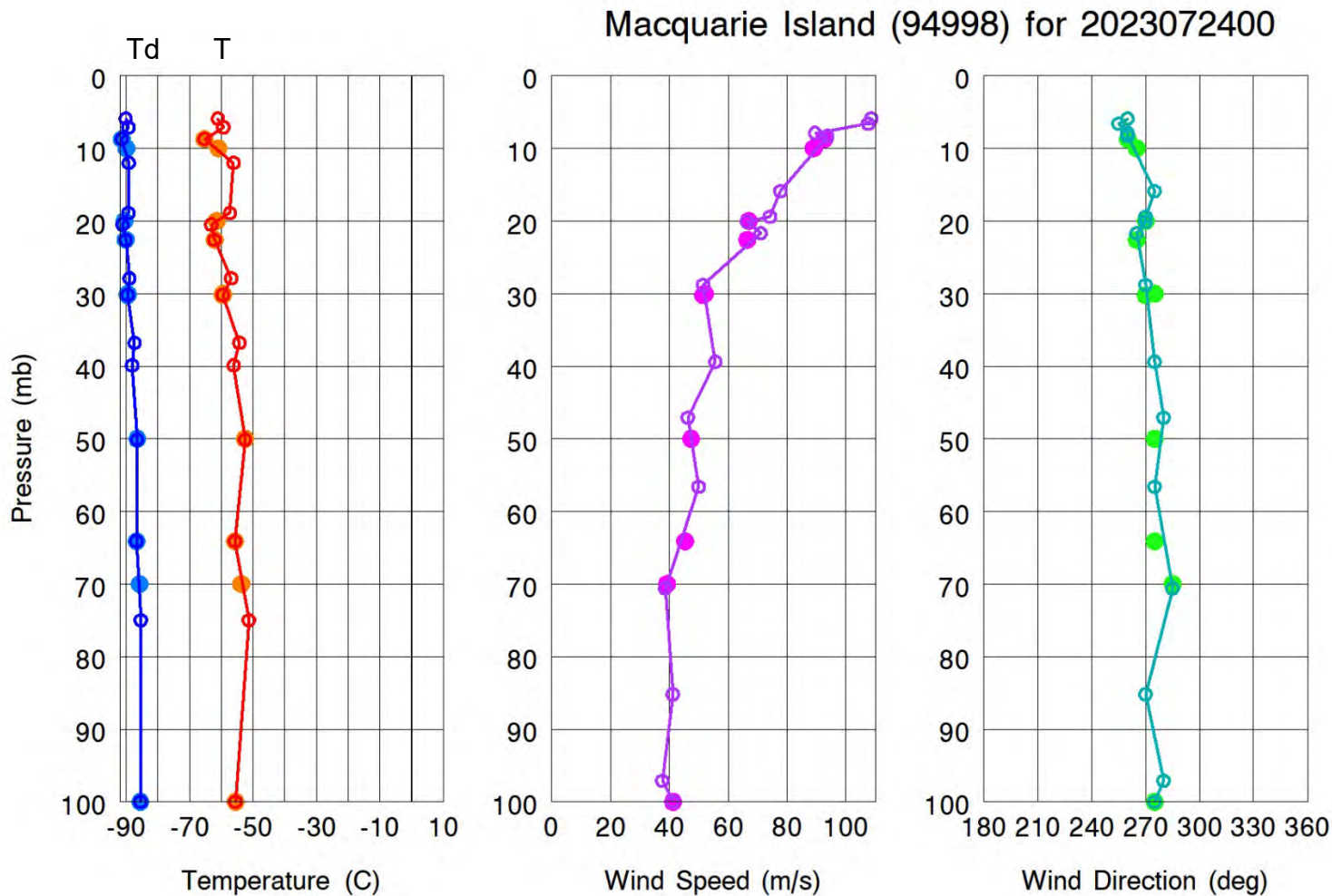
# Sounding Example

- Mandatory levels at specified pressures (~20 levels total)



Above 100 hPa: Mandatory-level data

# Sounding Example

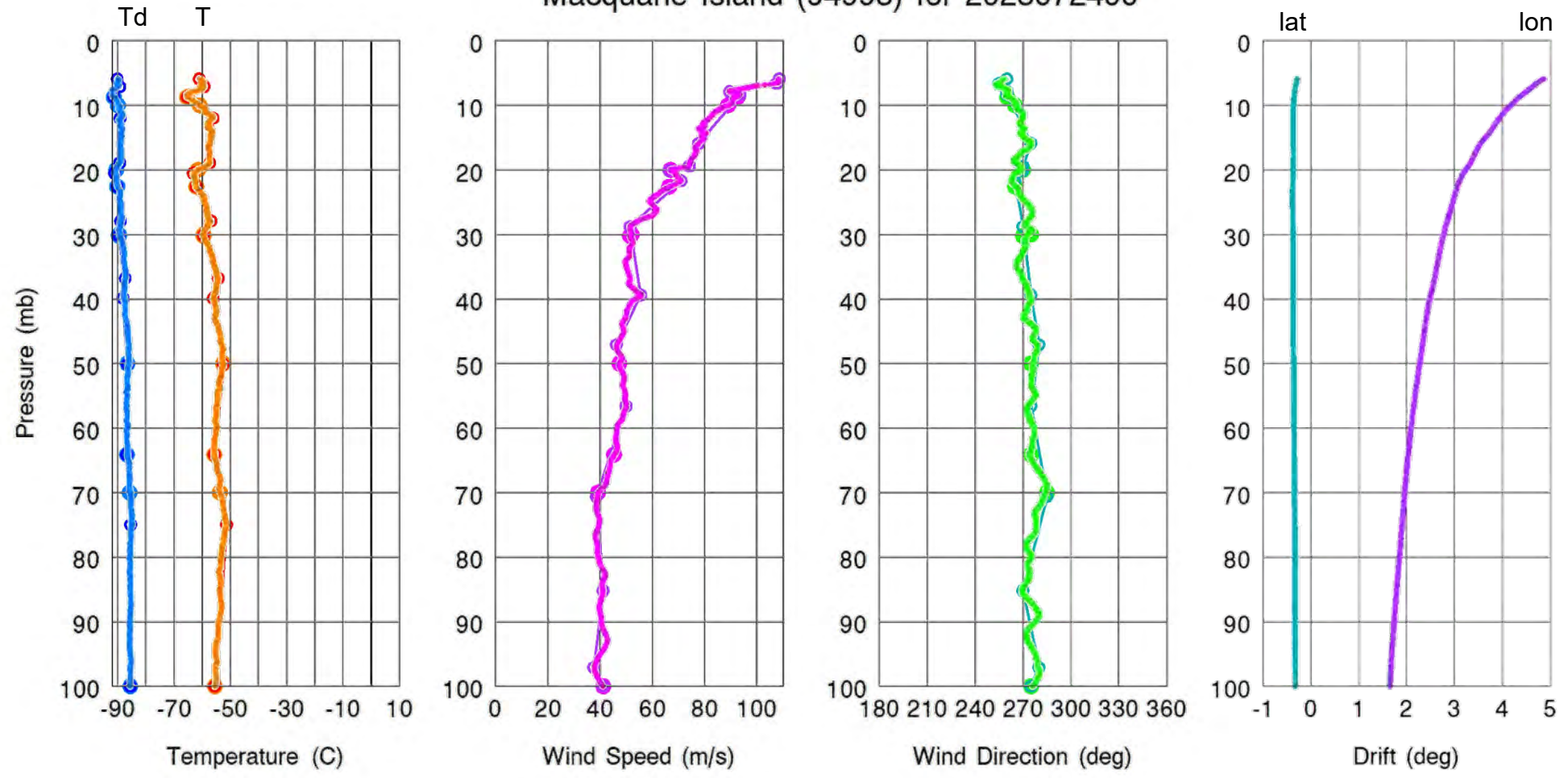


- Mandatory levels at specified pressures (~20 levels total)
- Significant levels add change points (~100 levels total)

Above 100 hPa: Mandatory-level data + significant-level data

# Sounding Example

Macquarie Island (94998) for 2023072400



- Mandatory levels at specified pressures (~20 levels total)
- Significant levels add change points (~100 levels total)
- BUFR levels at 2-sec intervals up to ~70 hPa and 0.1 hPa intervals above that (~2500 levels total)

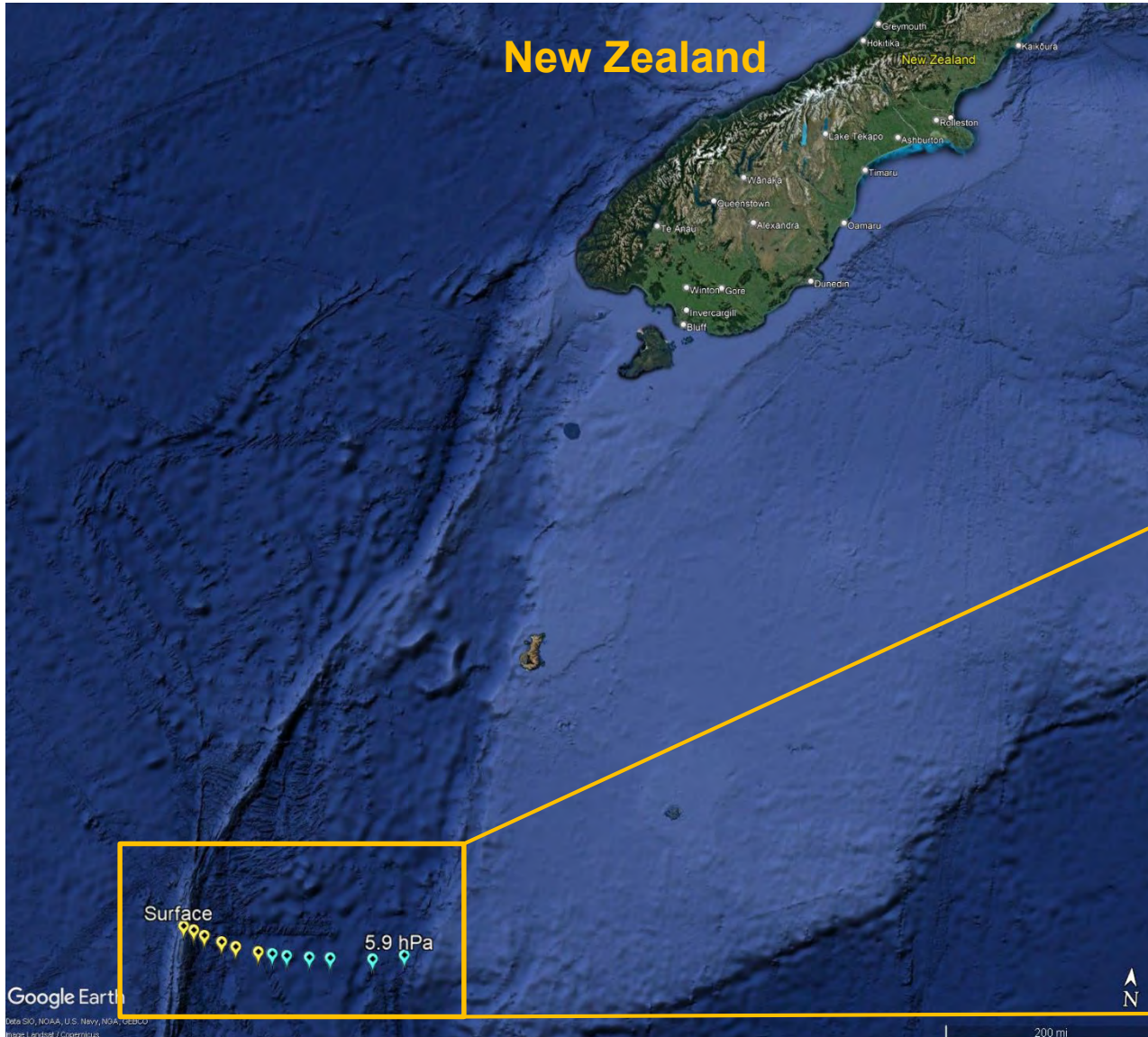
Above 100 hPa: Mandatory-level data + significant-level data + BUFR data



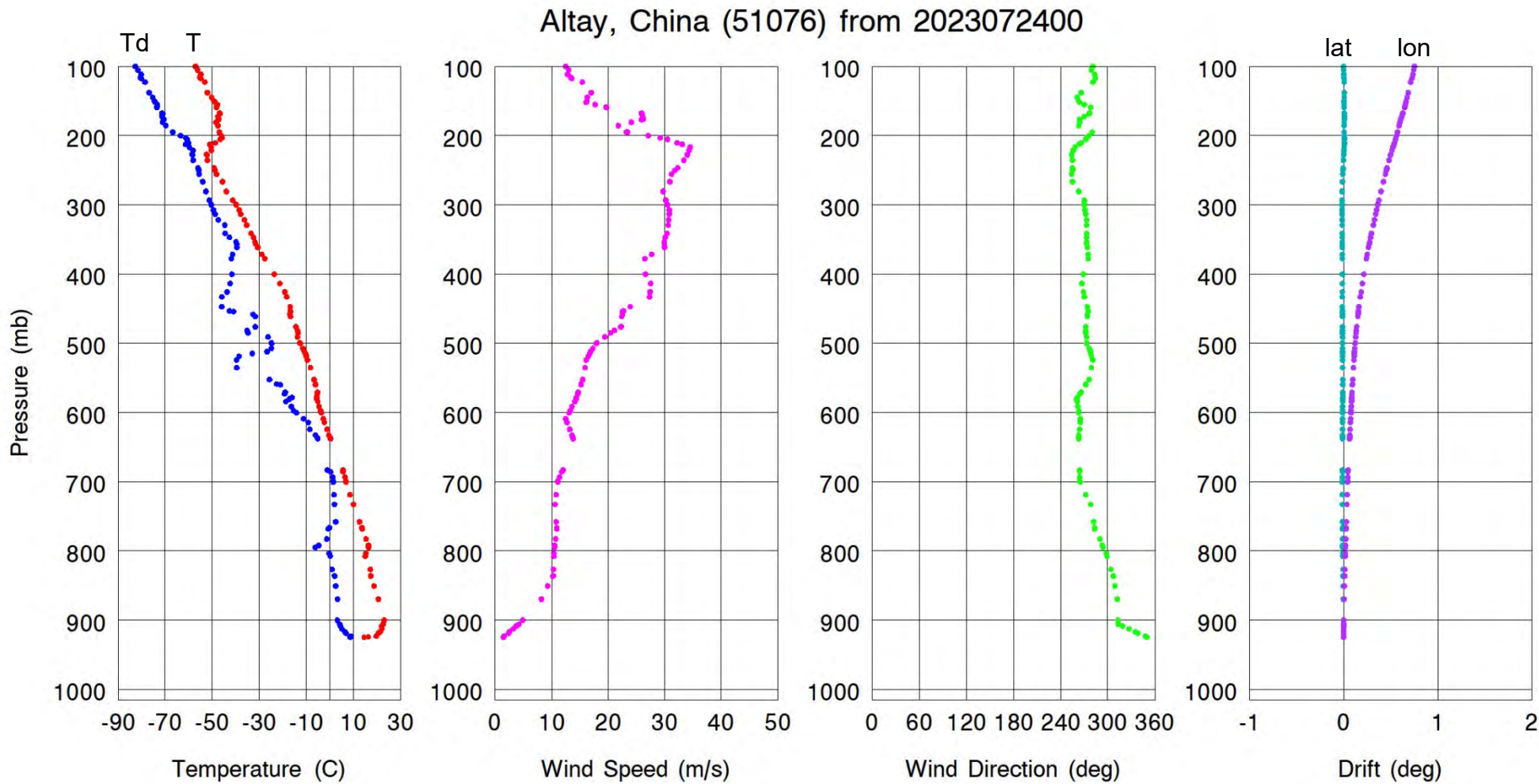
# Sounding Example

## New Zealand

- Macquarie Island (94998) sounding at 2023072400
- Selected mandatory levels plotted from BUFR
  - Levels at and below 100 hPa in yellow
  - Levels above 100 hPa in blue
- Total drift distance ~310km



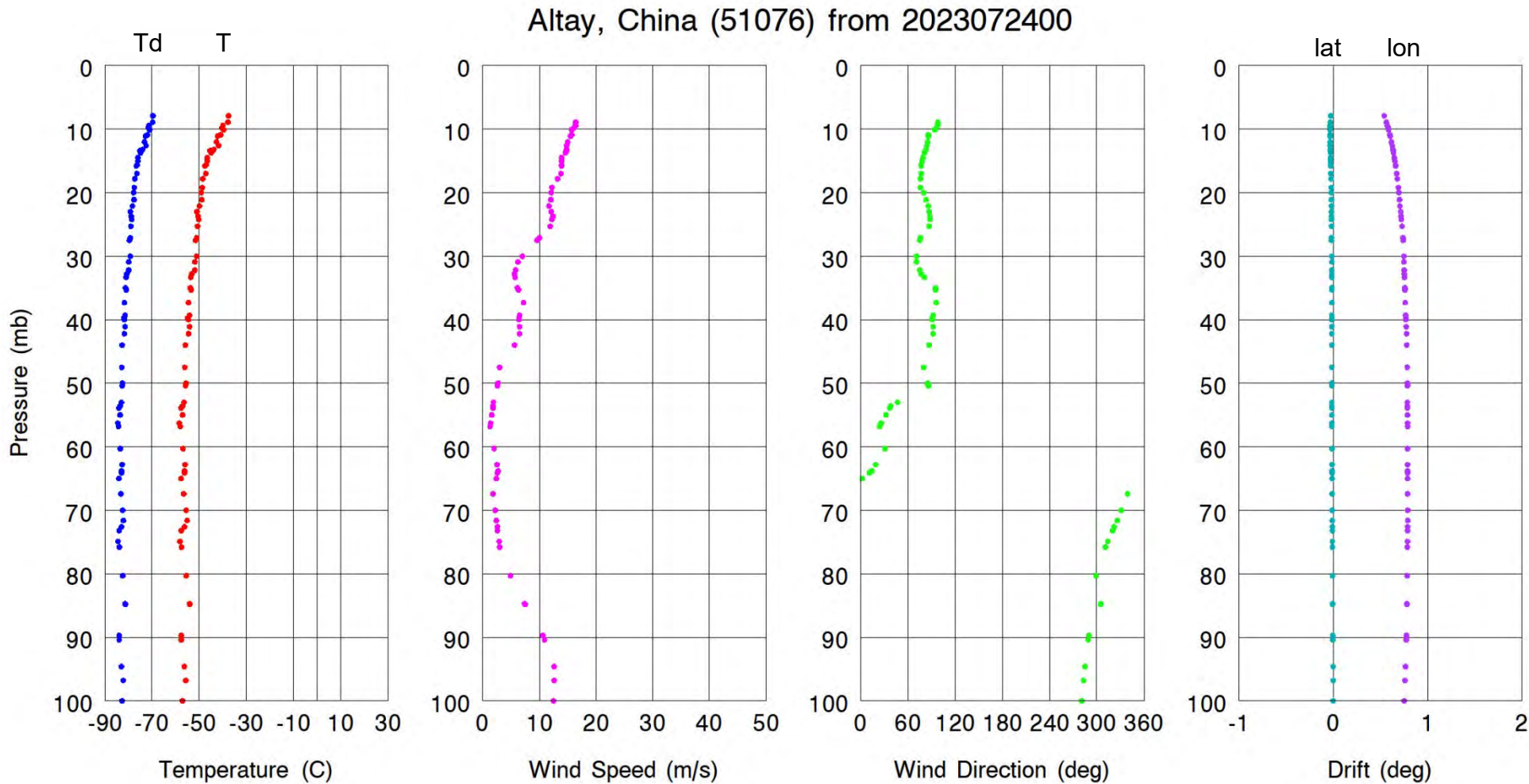
# Sounding Example



- BUFR levels at uneven intervals (~200 levels total)

Below 100 hPa: Only low-resolution BUFR data available

# Sounding Example



- BUFR levels at uneven intervals (~200 levels total)

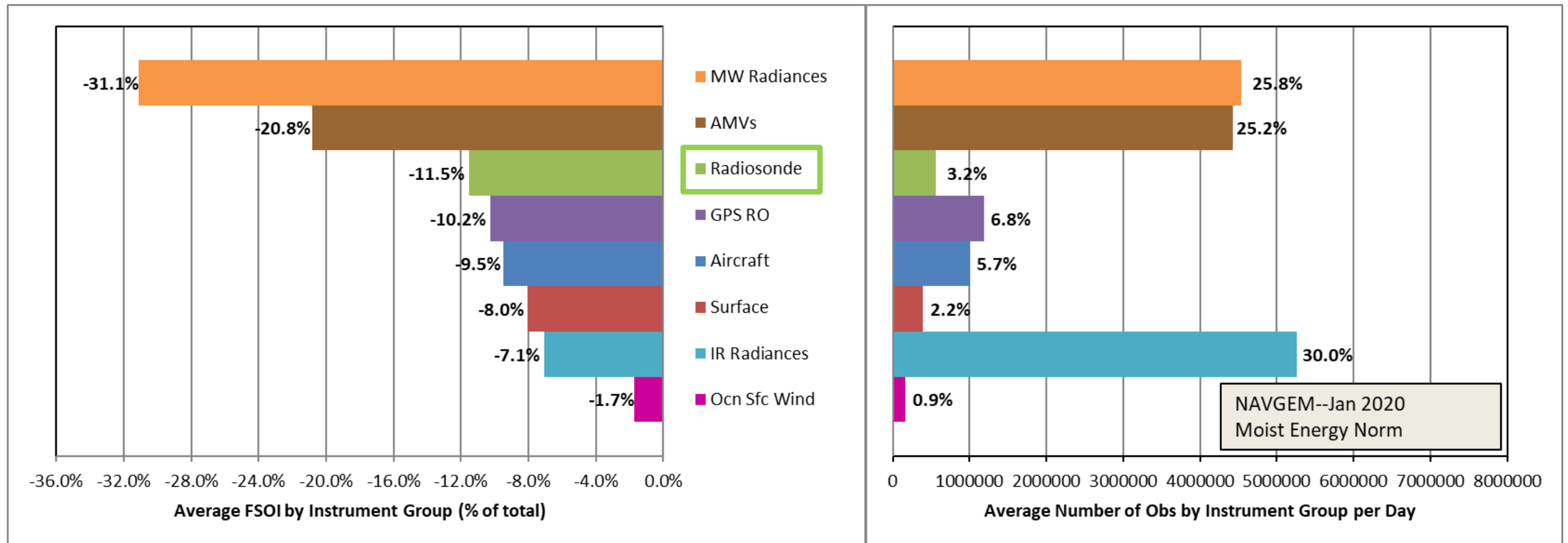
Above 100 hPa: Only low-resolution BUFR data available

# Outline

- Background: traditional vs. high (vertical) resolution radiosonde data
- **Radiosonde data in U.S. Navy numerical weather prediction**
  - NAVGEM characteristics
  - Radiosonde data quality control and thinning
  - Example of thinning
  - Impact of radiosonde observations
- Examples of errors in high resolution radiosonde data
- Upcoming changes

## NAVGEM: Navy Global Environmental Model

- Operational model resolution: T681L60
- Data assimilation system: Hybrid 4DVAR
  - “NAVDAS” —NRL Atmospheric Variational Data Assimilation System
- Observations assimilated—over 90% are satellite-based
  - Satellite radiance and GPS radio occultation observations
  - Satellite-derived winds (atmospheric motion vectors and scatterometer winds)
  - Aircraft observations (temperature, humidity, winds)
  - Land and marine surface observations (temperature, humidity, winds)
  - Radiosonde observations (temperature, humidity, winds)
    - High-resolution BUFR radiosonde data used operationally since Sept 2015
    - Radiosonde drift locations used operationally since Dec 2019



## FSOI (Forecast Sensitivity to Observation Impact)

- Measure of error reduction in 24 hr forecasts associated with assimilating observations
- Negative FSOI => beneficial impact
- In Jan 2020, radiosondes gave 3.2% of observations but 11.5% of error reduction in NAVGEM

# Radiosonde Processing

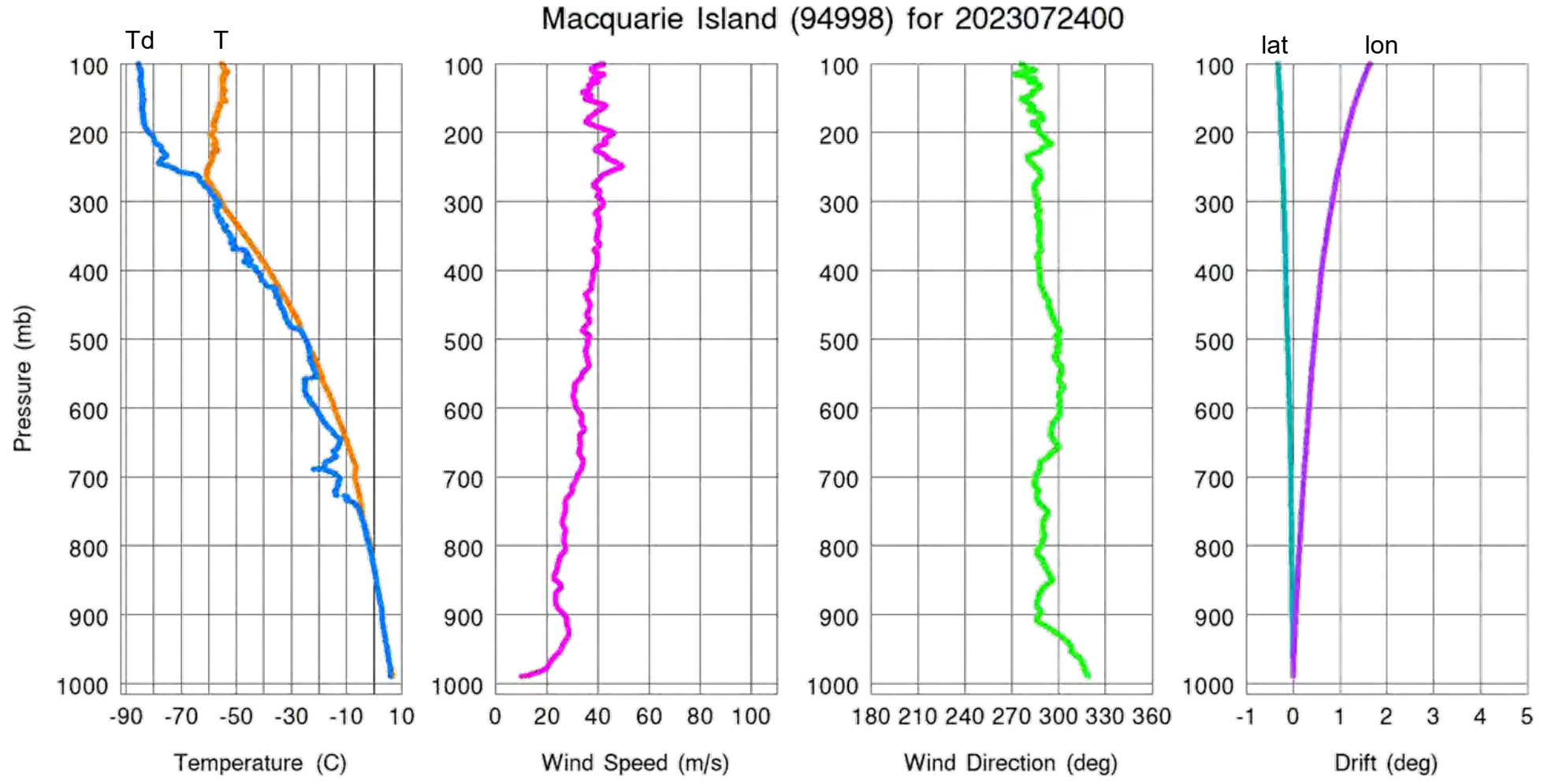
- FNMOC retrieves and decodes data from the GTS
- Automated radiosonde quality control
  - **Duplicate checks**—BUFR retained over TAC, “late” message retained over “early” message
  - **Reject list checks**—data from problematic stations rejected outright (by variable)
  - **Gross error checks**—large departures from climatological values, excursions from physical limits
  - **Known error checks**—various checks by country or radiosonde type for known errors
  - **Complex Quality Control**—hydrostatic and other checks developed by Gandin and Collins in the 1980s
  - **Metadata checks**—BUFR launch locations compared to FNMOC Master Station List locations
  - **Three-sigma check**—check against model background inside 4DVAR—“failsafe”

# Radiosonde Processing

- FNMOC retrieves and decodes data from the GTS
- Automated radiosonde quality control
  - **Duplicate checks**—BUFR retained over TAC, “late” message retained over “early” message
  - **Reject list checks**—data from problematic stations rejected outright (by variable)
  - **Gross error checks**—large departures from climatological values, excursions from physical limits
  - **Known error checks**—various checks by country or radiosonde type for known errors
  - **Complex Quality Control**—hydrostatic and other checks developed by Gandin and Collins in the 1980s
  - **Metadata checks**—BUFR launch locations compared to FNMOC Master Station List locations
  - **Three-sigma check**—check against model background inside 4DVAR—“failsafe”
- Vertical thinning for soundings with more than 250 levels
  - Levels thinned to a minimum vertical separation of 130m, retaining mandatory levels



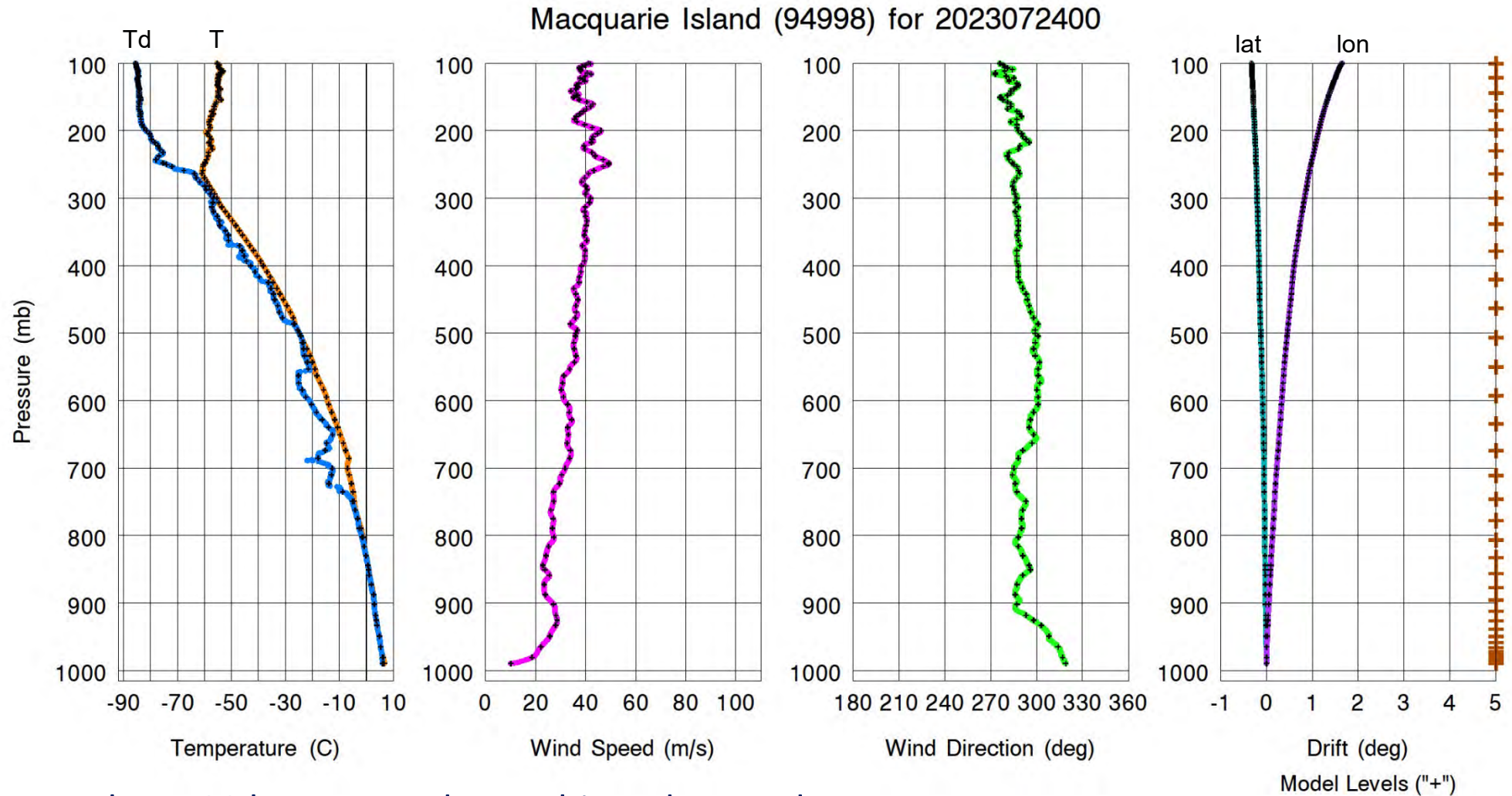
# Sounding Example



- Mandatory levels at specified pressures (~20 levels total)
- Significant levels add change points (~100 levels total)
- BUFR levels at 2-sec intervals up to ~70 hPa and 0.1 hPa intervals above that (~2500 levels total)

Below 100 hPa: BUFR data

# Sounding Example

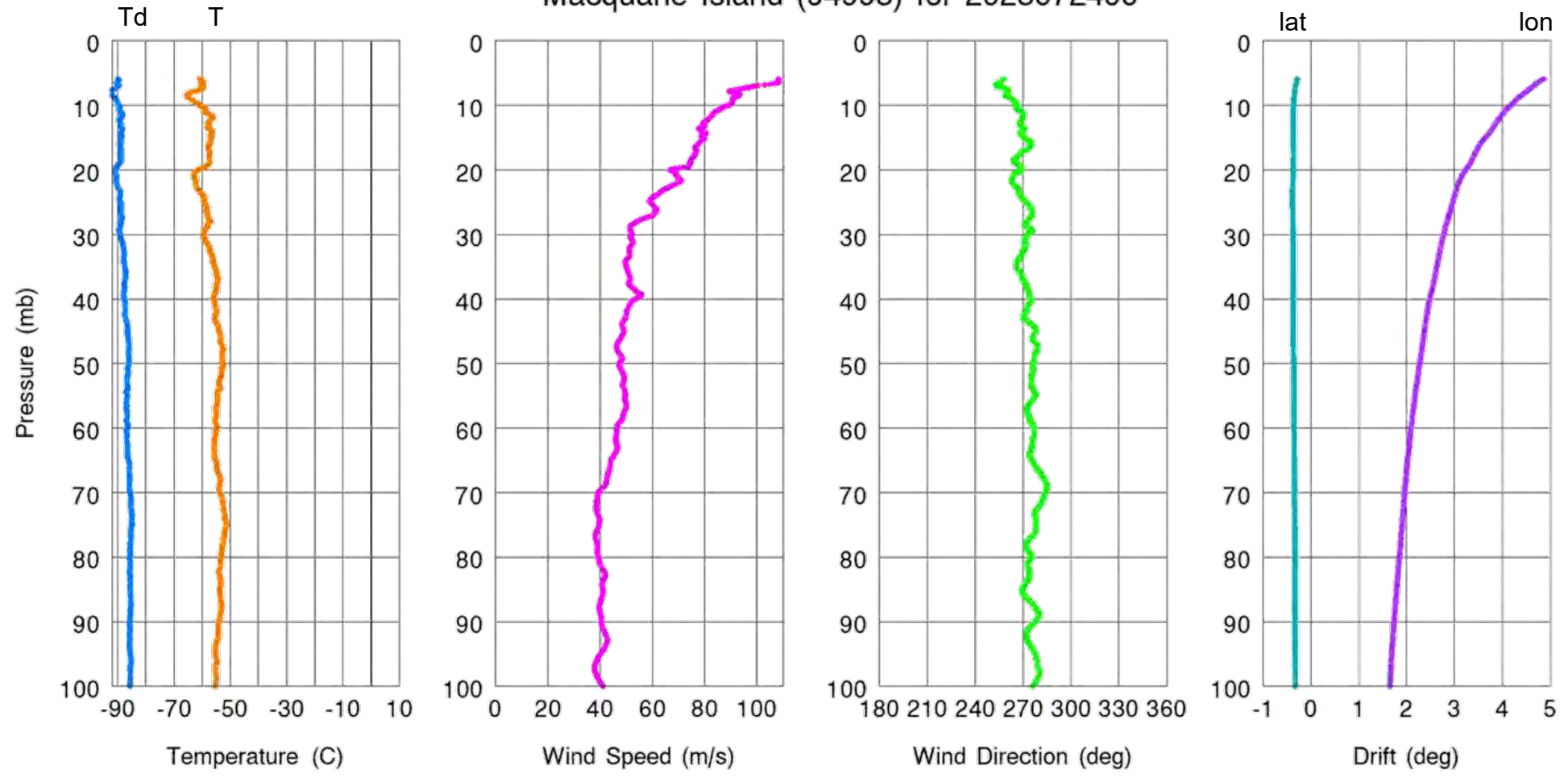


- Mandatory levels at specified pressures (~20 levels total)
- Significant levels add change points (~100 levels total)
- BUFR levels at 2-sec intervals up to ~70 hPa and 0.1 hPa intervals above that (~2500 levels total)
- Thinned BUFR at ~130m intervals + mandatory levels (~250 levels total)
- 60 model levels ("+" )

Below 100 hPa: BUFR data + thinned BUFR data

# Sounding Example

Macquarie Island (94998) for 2023072400

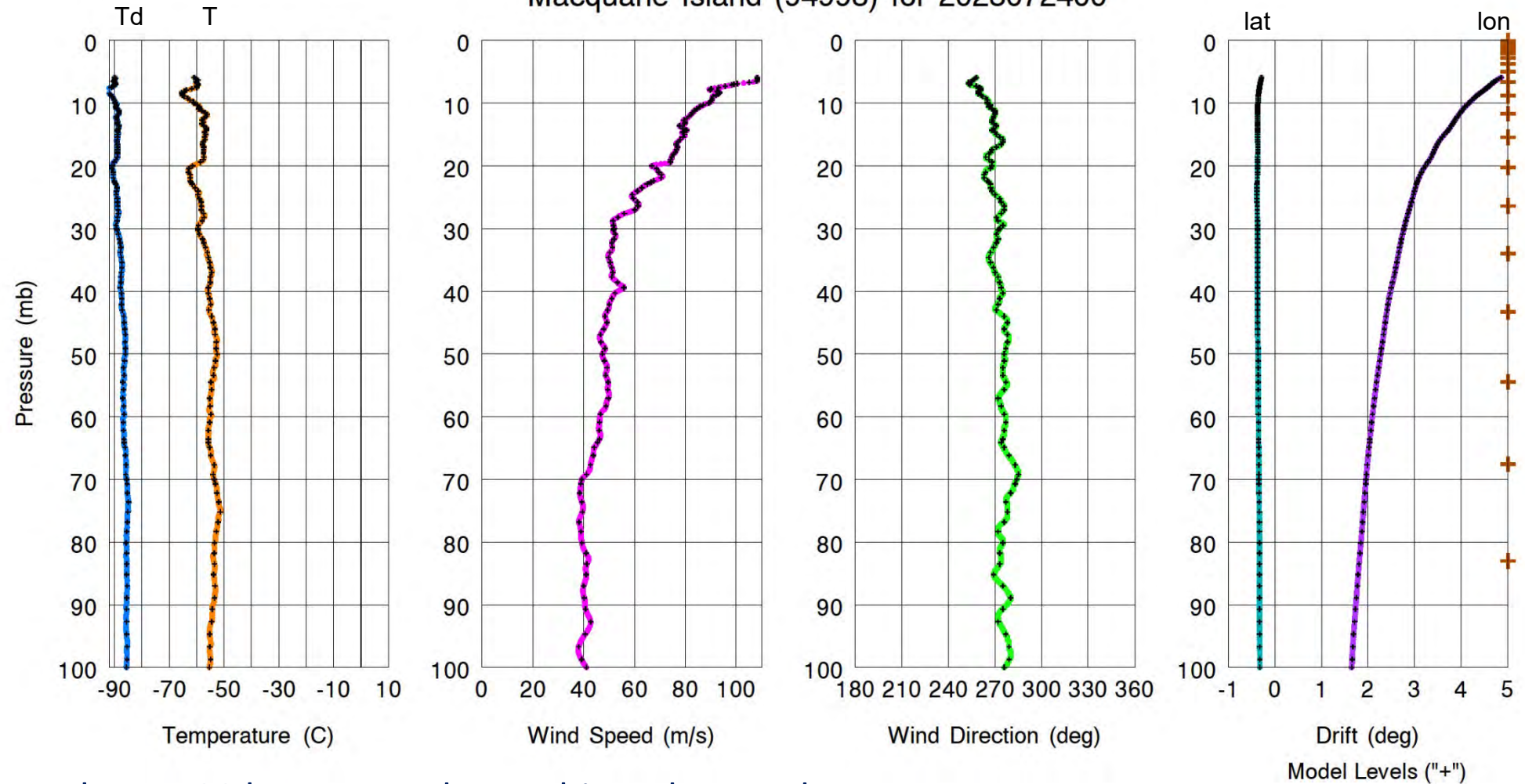


- Mandatory levels at specified pressures (~20 levels total)
- Significant levels add change points (~100 levels total)
- BUFR levels at 2-sec intervals up to ~70 hPa and 0.1 hPa intervals above that (~2500 levels total)

Above 100 hPa: BUFR data

# Sounding Example

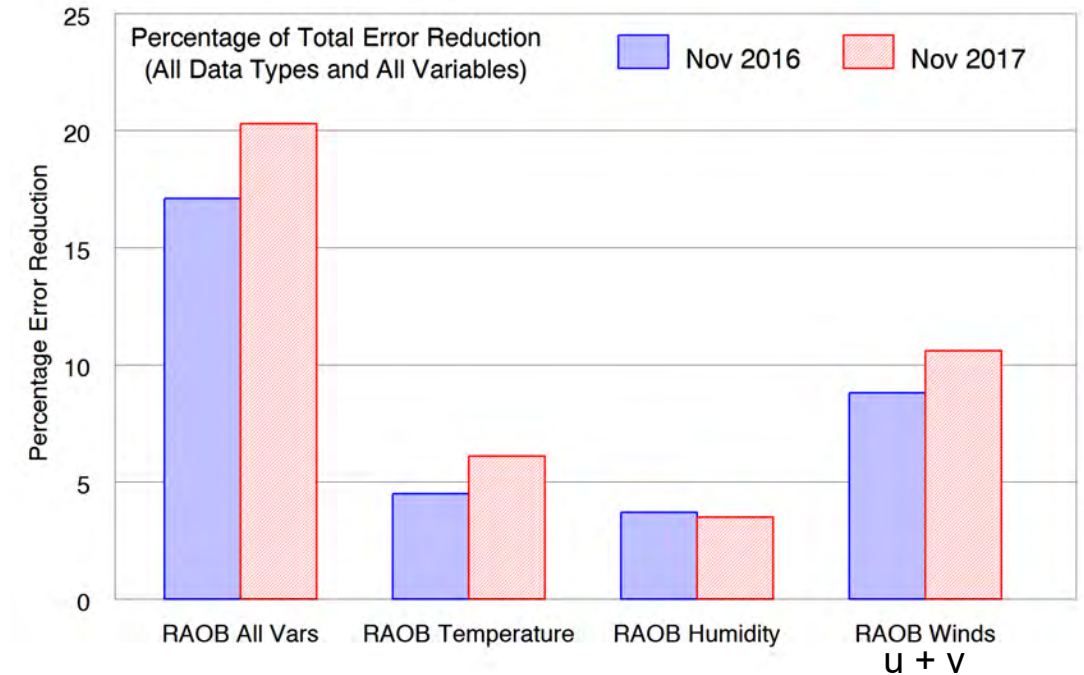
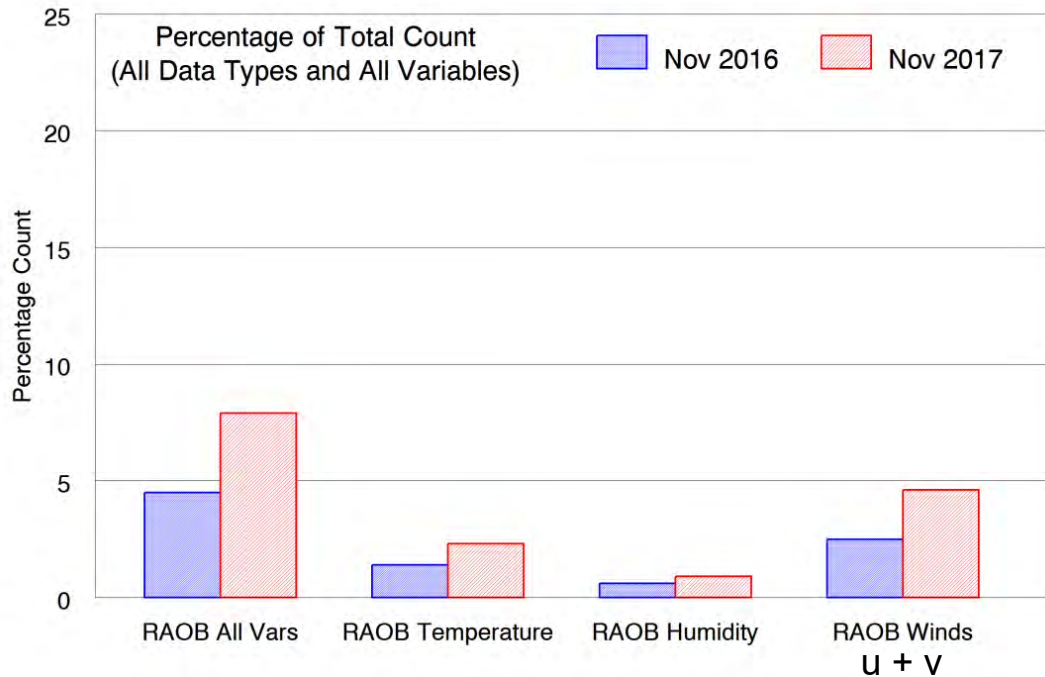
Macquarie Island (94998) for 2023072400



- Mandatory levels at specified pressures (~20 levels total)
- Significant levels add change points (~100 levels total)
- BUFR levels at 2-sec intervals up to ~70 hPa and 0.1 hPa intervals above that (~2500 levels total)
- Thinned BUFR at ~130m intervals + mandatory levels (~250 levels total)
- 60 model levels ("+" )

Above 100 hPa: BUFR data + thinned BUFR data

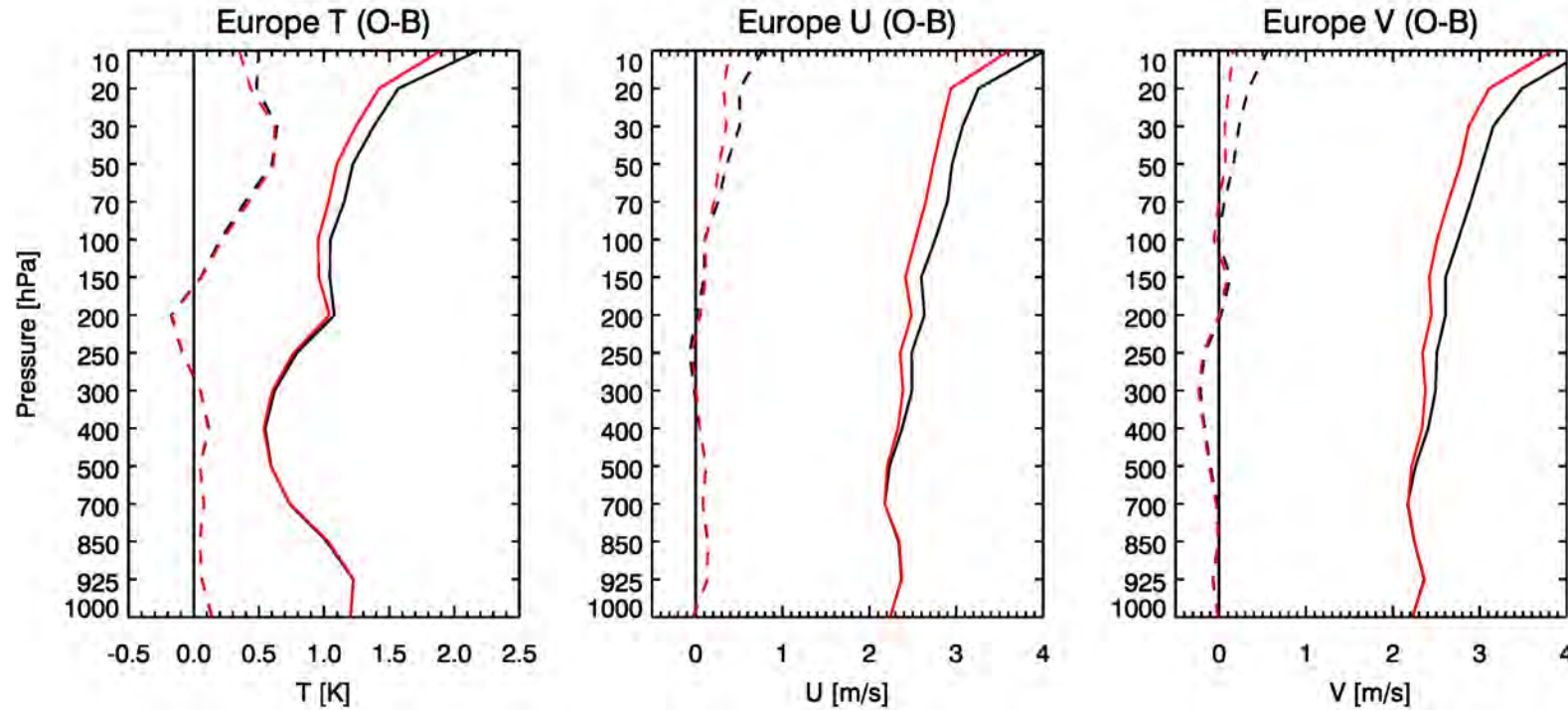
# Impact of U.S. Radiosonde Data



## Contribution to global FSOI from the contiguous U.S. for NAVGEM

- Looking at the regional contribution to FSOI from CONUS to isolate impact of U.S. radiosondes
- Left: Increase in counts associated with the implementation of high-resolution software by the NWS
- Right: Increase in percentage error reduction
  - nearly equal increase for temperature and winds, little change for humidity

# Impact of Drift in Europe



## ECMWF O-B statistics for Europe for Nov 2016-Feb 2017 (from Ingleby et al. 2018)

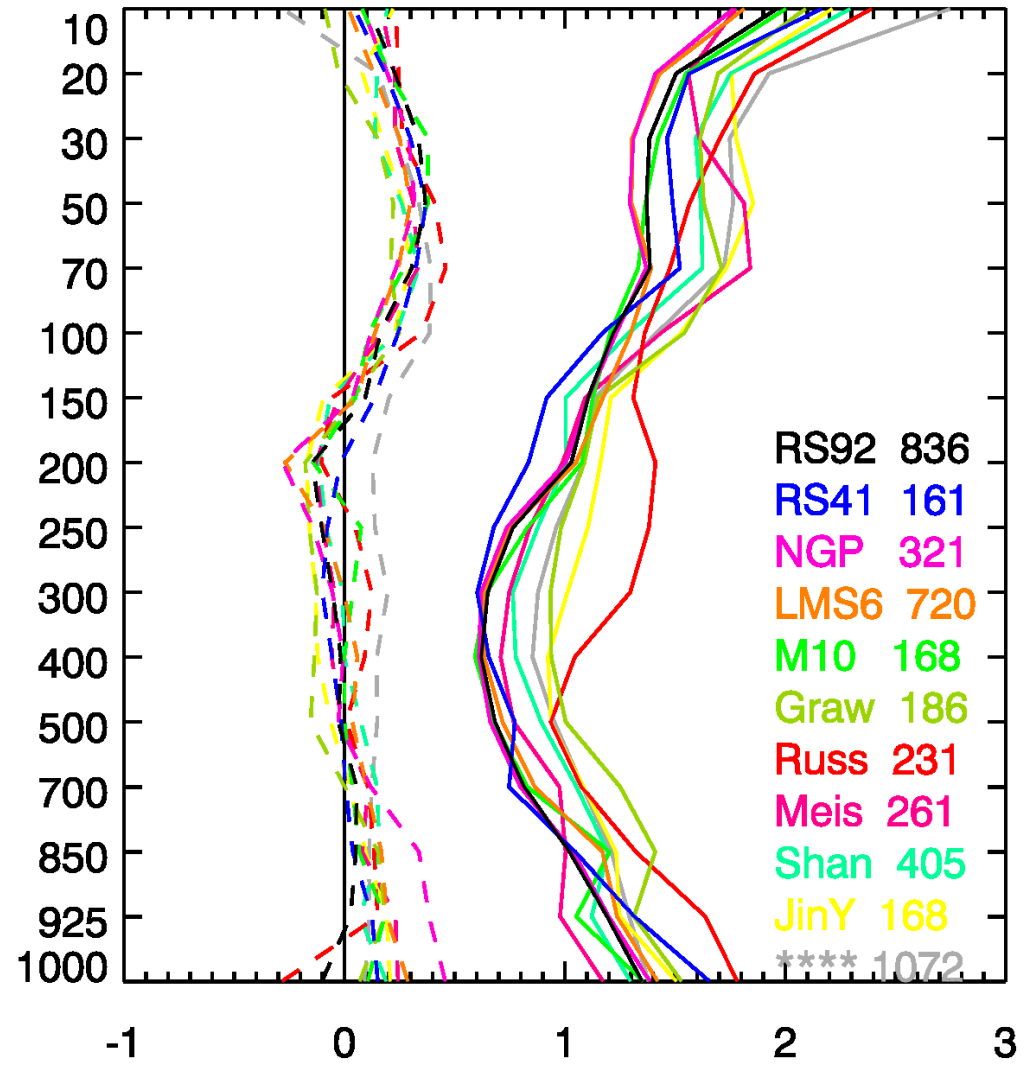
- NWP centers use “O-B” (Observation minus Background) statistics as a proxy for errors
  - Radiosondes used to verify forecasts
  - Short-range forecasts (“background”) used to verify radiosondes
- Using radiosonde data at drift locations improves both the mean (dashed) and standard deviation (solid)

# Outline

- Background: traditional vs. high (vertical) resolution radiosonde data
- Radiosonde data in U.S. Navy numerical weather prediction
- **Examples of errors in high resolution radiosonde data**
  - **Data assimilation typically assumes errors are:**
    - Random
    - Normally distributed
    - Unbiased
    - Uncorrelated in time and space (including in the vertical)
    - Uncorrelated with the model background
  - **Actual errors often violate these assumptions**
  - **Observations should be viewed with suspicion—most are good, some are not!**
- Upcoming changes

# ECMWF O-B Statistics

T (O-B) 20\_50N 2015+2016



- Example from Ingleby (2017) shows northern hemisphere mid-latitude temperature O-B mean (dashed) and RMS (solid)
- Many of the listed types are no longer in use (e.g., Lockheed-Martin LMS6), but widely used Vaisala RS41 is shown
- Radiosonde data are heterogeneous but often treated in NWP as a homogeneous dataset
- Vertical variation in “errors” handled through specification of observation error in DA system



# Error Example



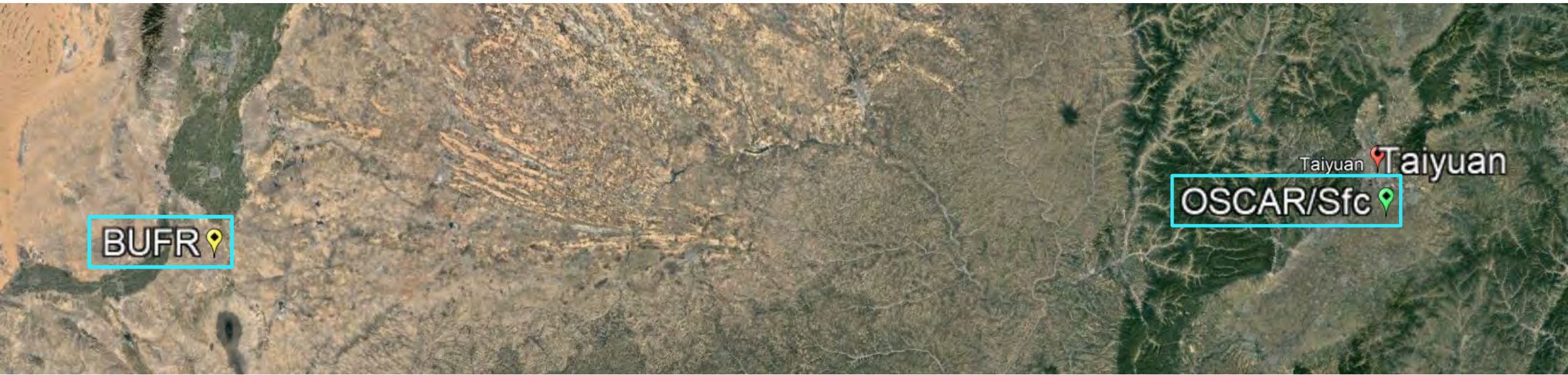
## Error in BUFR latitude

- Incorrect launch latitude encoded in BUFR for Lord Howe Island, Australia (94995), presumably from ground station entry
  - FNMOC: -31.5330, 159.0670, 7m
  - OSCAR/Surface: -31.5422, 159.07861, 5m
  - BUFR (Jan 2023): -31.54170, 159.07680, 5m
  - BUFR (July 2023): **10.18716**, 159.07854, 5m
  - Distance ~4,650km
  - Initial latitude drift of 63.08348° indicates error
- **QC solution:** Compare BUFR locations with locations from an external station list
- **Reported error to BOM on 7/31; error corrected for 00Z 8/2 launch**

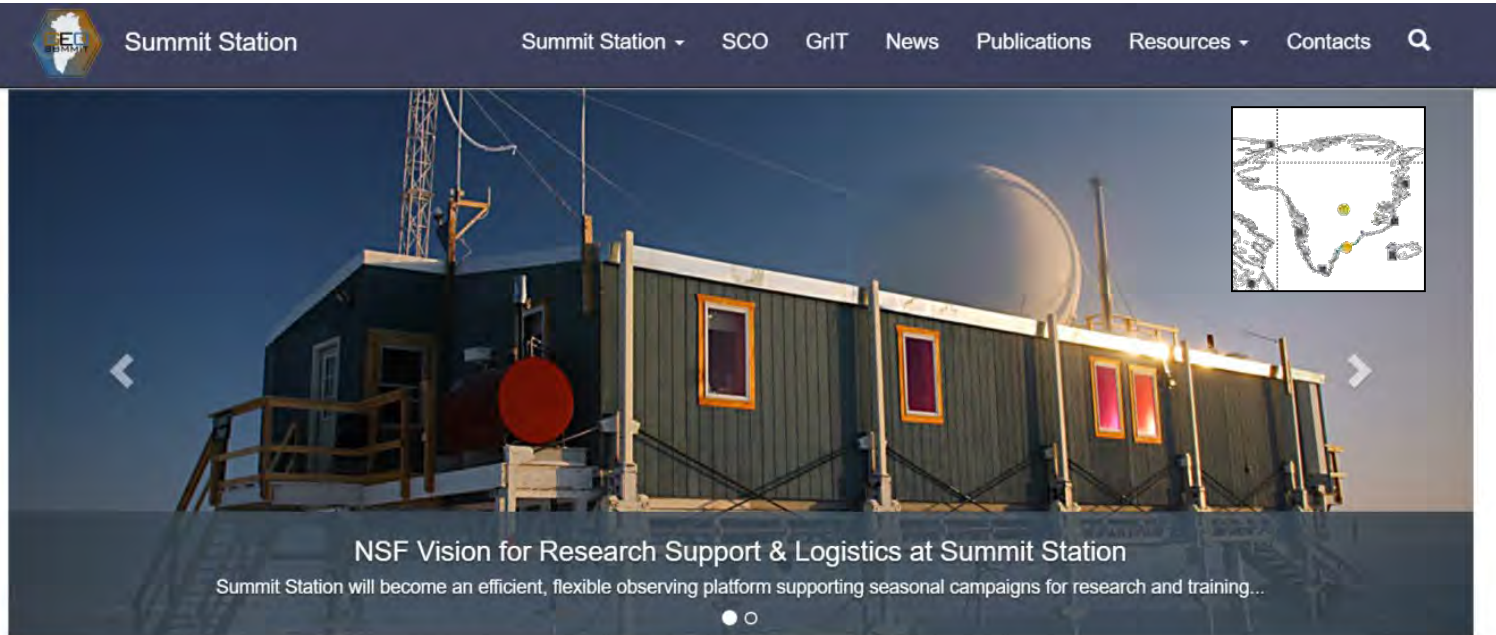
# Error Example

## Error in BUFR longitude

- Incorrect launch longitude in BUFR for Taiyuan, China (53772), presumably from ground station entry
  - **QC solution:** Compare BUFR locations with locations from an external station list
- FNMOC: 37.7830, 112.5500, 779m
  - OSCAR/Surface: 37.62056, 112.57667, missing
  - BUFR (July 2023): 37.62000, **106.2000**, 776m
  - Distance ~560km
  - Radar-based sonde—no indication in drift
  - Elevations agree with Google Earth for location near Taiyuan; elevation ~1400m at BUFR location



## Error in BUFR release height



- Confusion about elevation
- ECMWF found 35m height bias in O-B statistics in early 2016
  - 3255m unadjusted GPS height
  - Changed to 3216m in July 2016
- Station moved, BUFR release height reset to GPS value of 3258m in Aug 2019
- After many emails, BUFR release height corrected to 3208m in Oct 2020

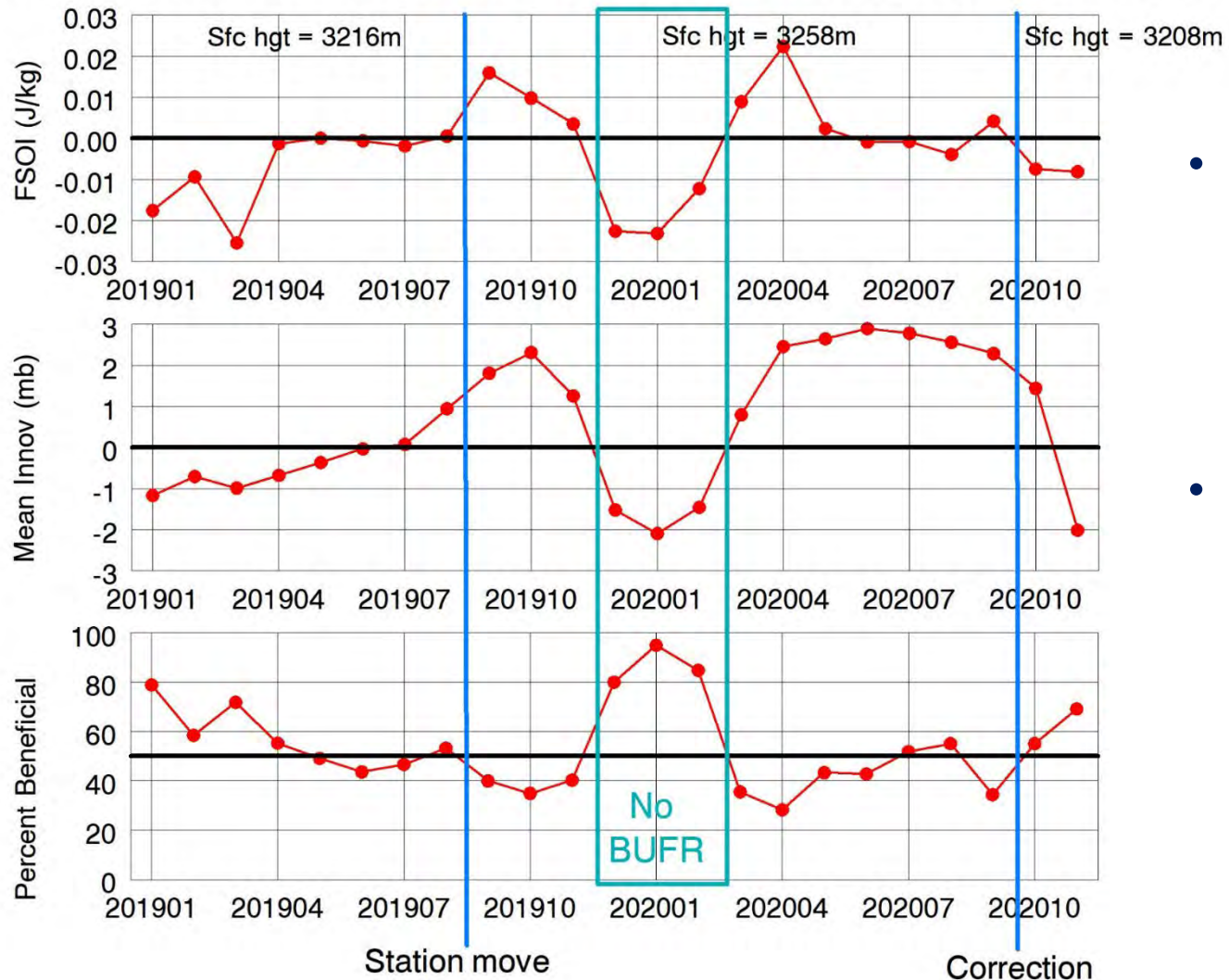


### Summit Station, Greenland (04417)

Summit Station is the only high altitude, high latitude, inland, year-round observing station in the Arctic. Summit Station offers immediate access to the free troposphere and is relatively free of local influences that could corrupt atmospheric observations. As such, it is ideally suited for studies aimed at identifying and understanding long-range, intercontinental transport and its influences on the ice sheet surface, boundary layer, and overlying atmosphere. The pristine and remote location in a year-round dry snow and ice region provides an optimal facility for energy and surface mass balance, radiation measurements, and remote sensing validation studies. Summit Station is also a prime site for astronomy and astrophysics research due to its high altitude and dry and stable atmosphere.

# Error Example

Monthly Statistics for Radiosonde Terrain Pressure for 04417 (Geosummit)

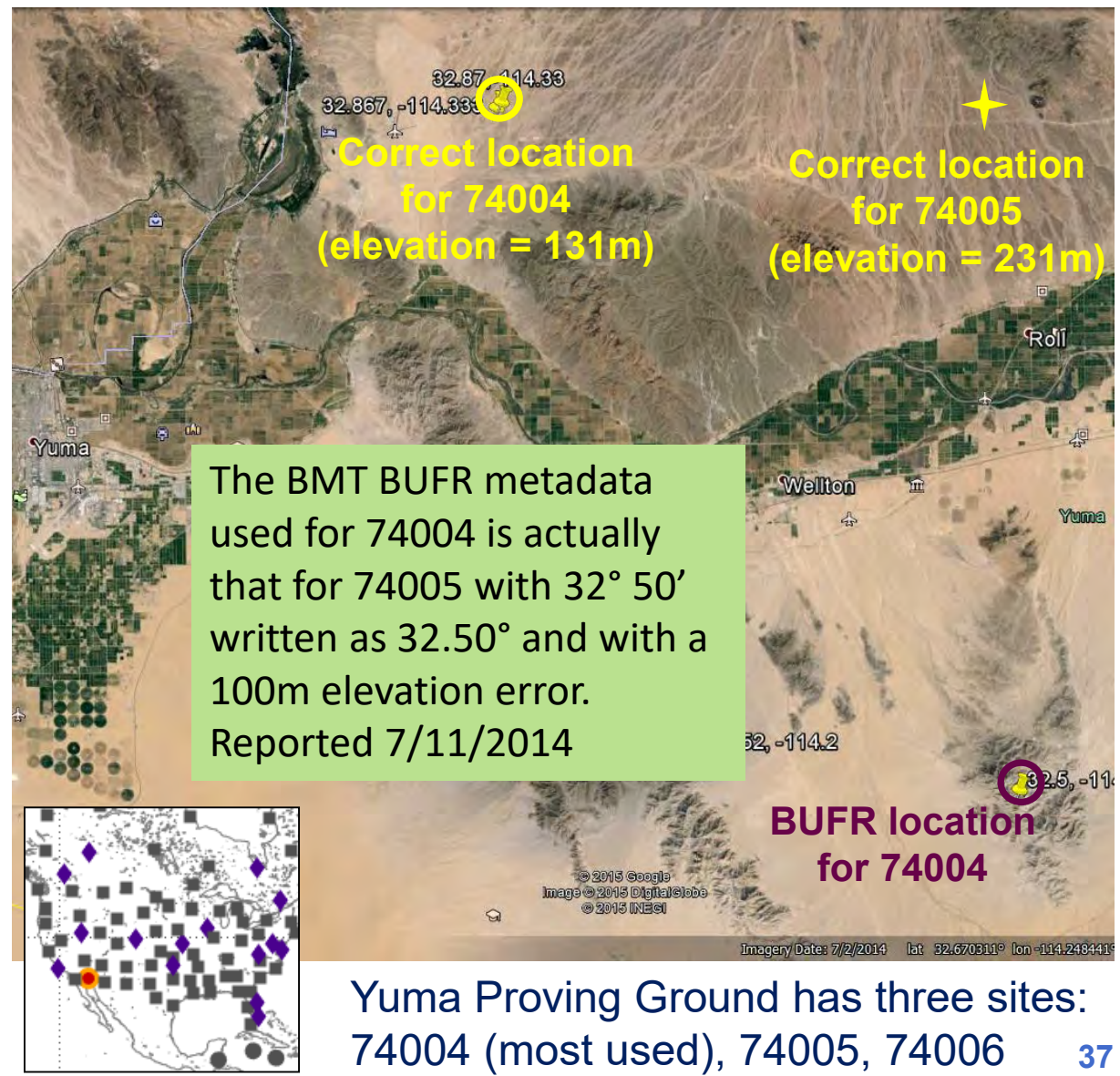
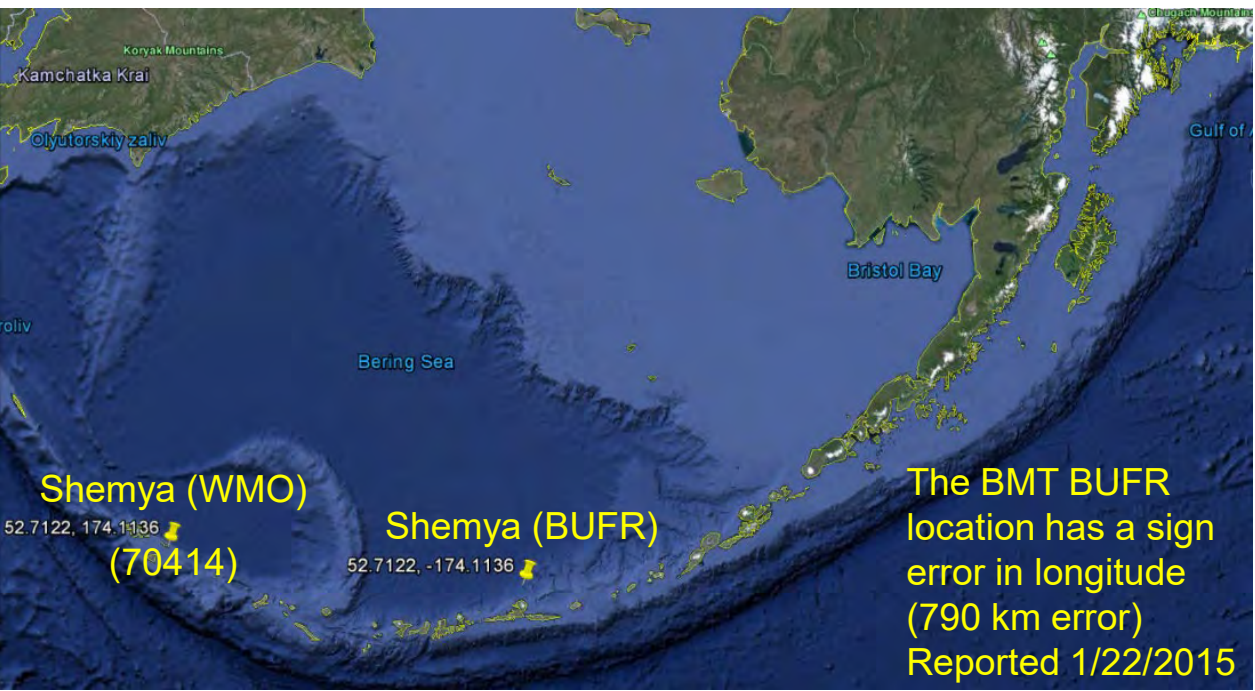


- Change to GPS elevation of 3258m affected the radiosonde terrain (surface) pressure
  - Non-beneficial (positive) overall FSOI
  - Less than 50% of obs with beneficial FSOI
  - Large positive bias in O-B (“innovation”)
- BUFR radiosonde data not received at FNMOC in late 2019 and early 2020
  - TAC radiosonde data used with correct elevation from the FNMOC station list
  - Beneficial FSOI for terrain pressure
  - Negative bias in O-B

# Error Example

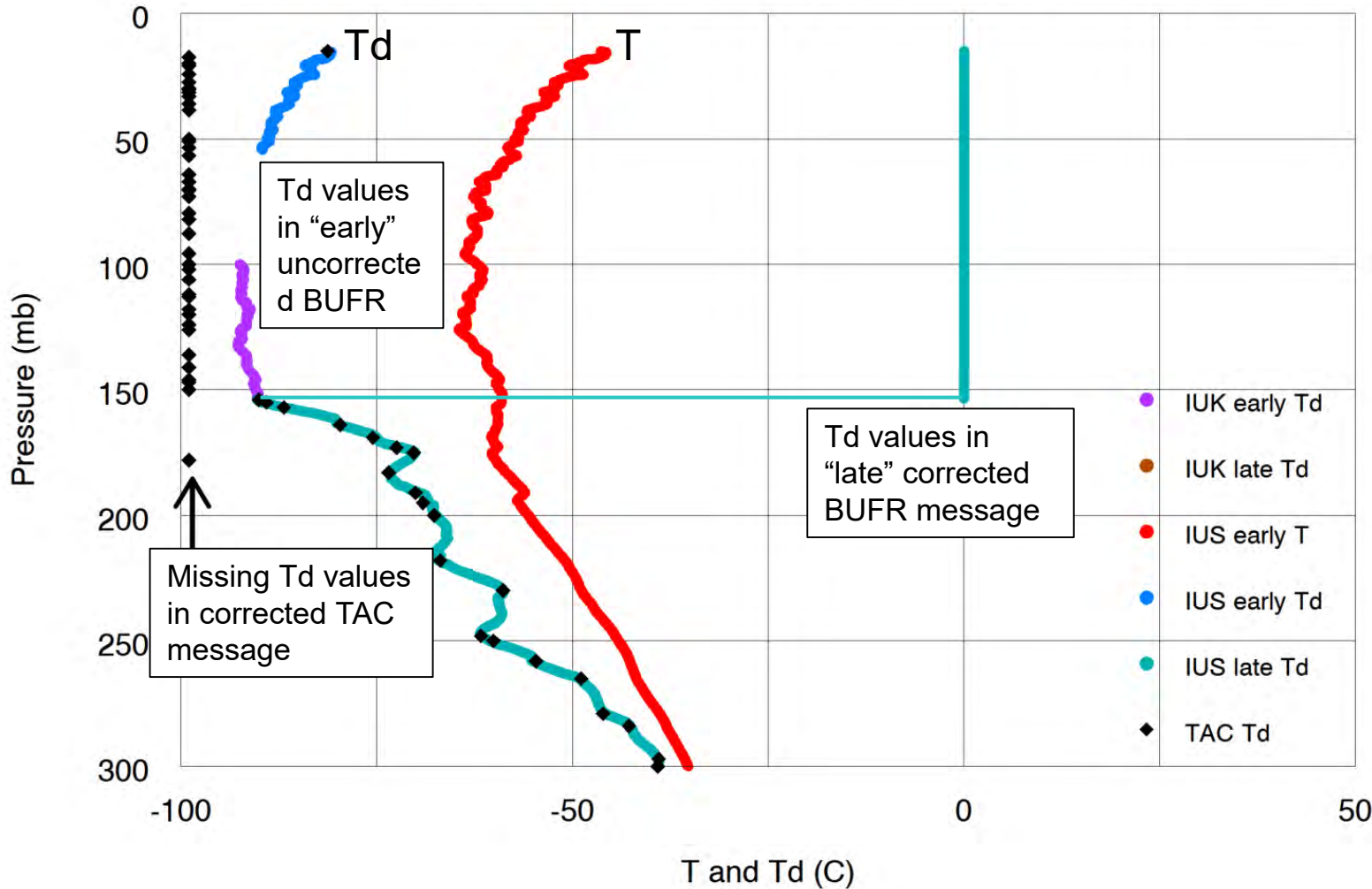
NCEP Central Operations (NCO) generates BUFR radiosonde messages reformatted from TAC for U.S. stations, including military sites with no “native” BUFR

- No improvement over TAC
- Most NWP centers do not subscribe to these bulletins
- Station metadata supplied by station list internal to the “BUFR Migration Tool” (BMT)—source of error



# Error Example

## Td Differences for 72317--2017060712

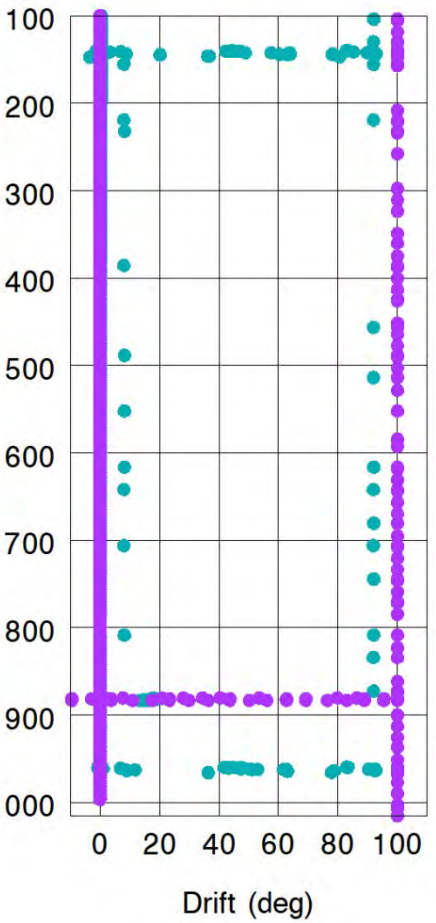
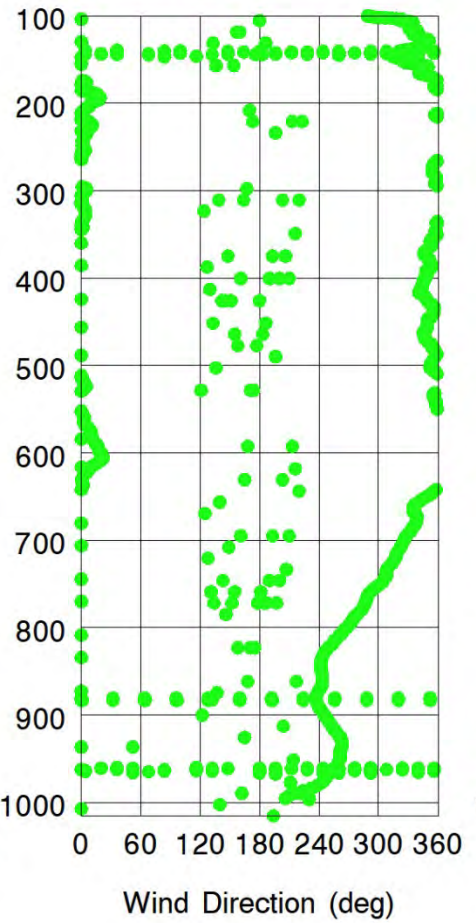
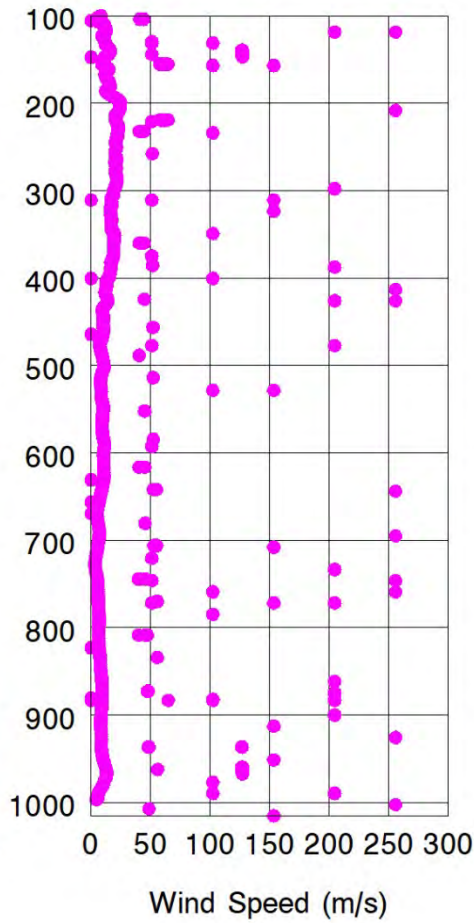
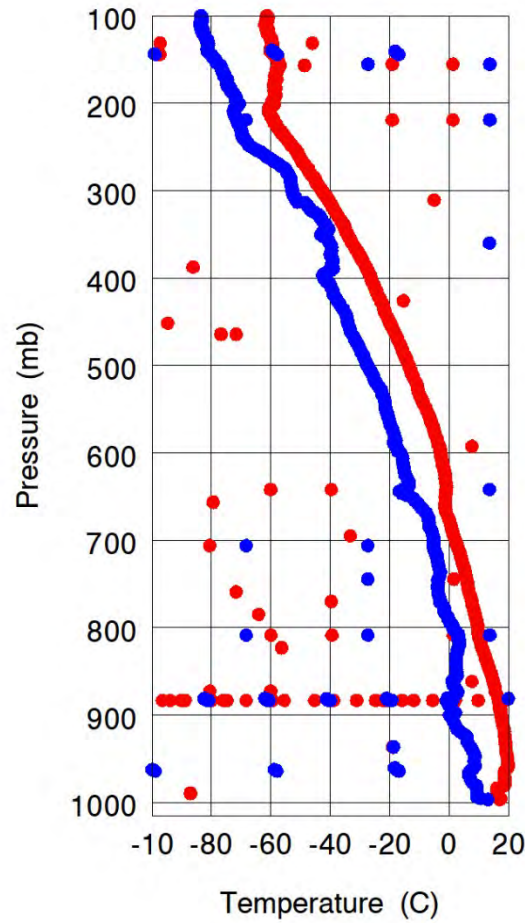


## Error in ground station software

- U.S. NWS previous ground station software allowed operators to manually delete "bad" data
- Deleted dewpoint depressions set to missing values in corrected TAC messages
- Corresponding dewpoint temperatures erroneously set to 0°C in corrected BUFR messages
- Ground station software update was required to correct the problem
- **QC solution:** Look for negative dewpoint depressions

# Error Example

Corrupted sounding from 2017051800

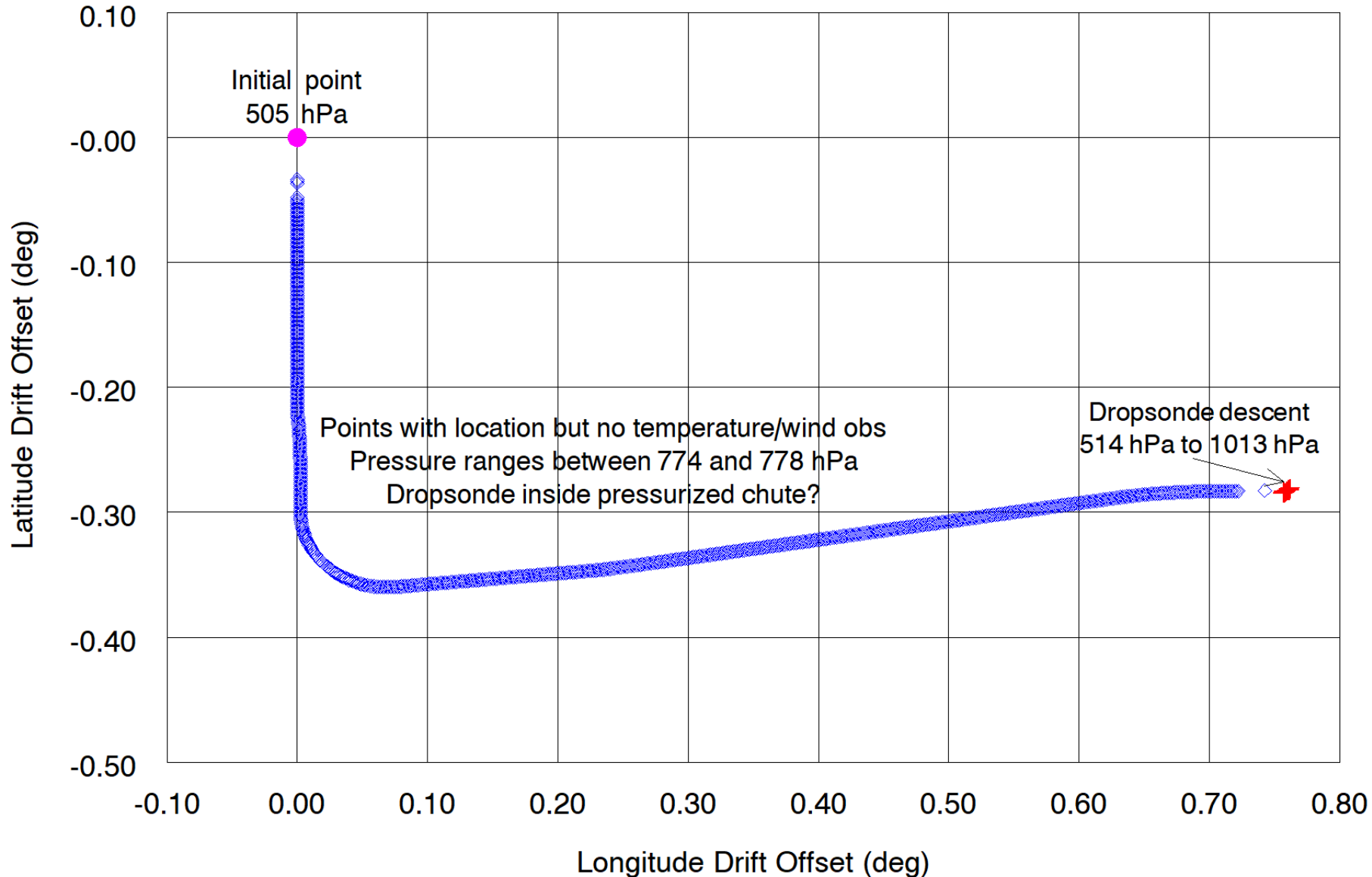


## Corrupted sounding

- BUFR messages are binary => ftp as "image" not "ASCII"
- ftp looks for end of line characters when transferring files from Windows to Unix as "ASCII"
- Windows uses <CR> <LF>; Unix uses <LF>; ftp deletes <CR> during transfer
- If the file is binary, deleting the 8 bits for <CR> corrupts the file after that point

**QC solution:** Reject soundings with a significant percentage of rejected values

# Error Example

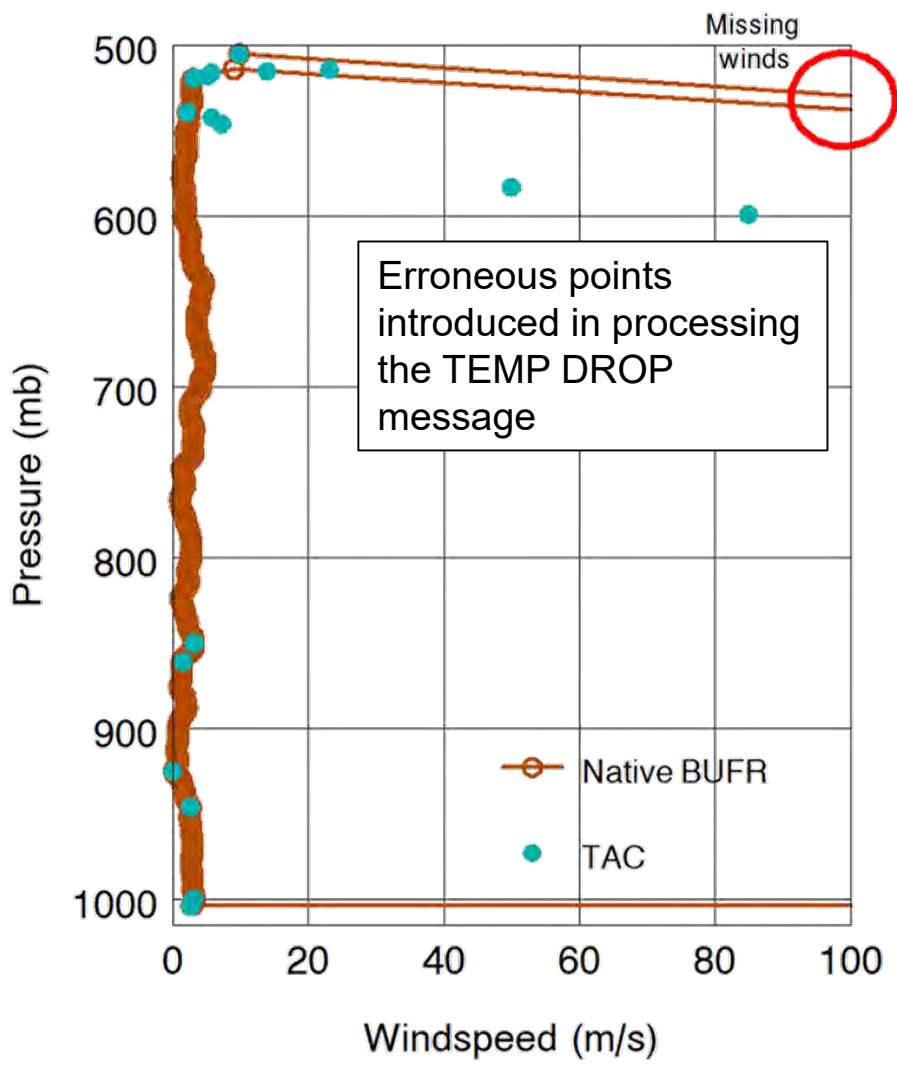
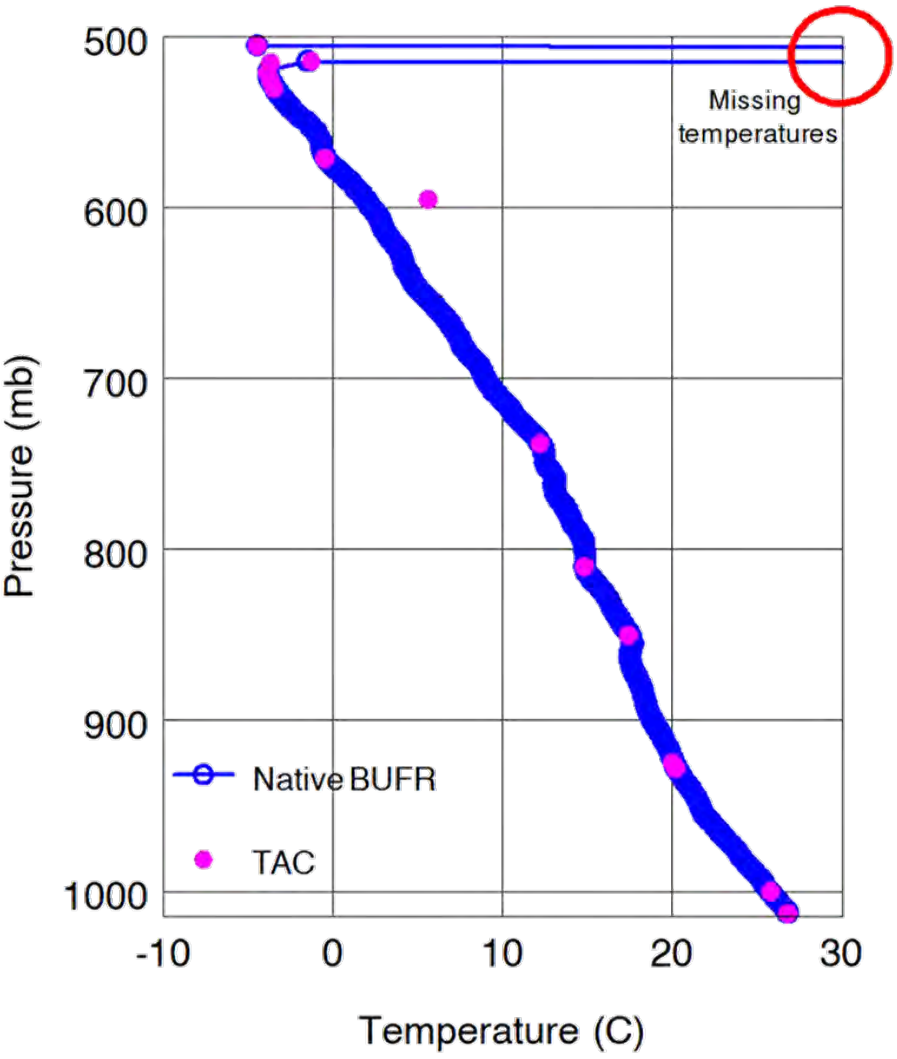


## Dropsonde with “early launch detect” problem

- Dropsonde from NOAA2 flying TS Gordon (9/3/2018)
- BUFR dropsonde messages have one-sec resolution and include GPS drift data
- Dropsonde likely inside pressurized chute at locations in blue
- Launch: 2118Z 3 Sept 2018 at 25.78°N, 84.0°W
- Splash: 2137Z 3 Sept 2018 at 25.50°N, 83.24°W



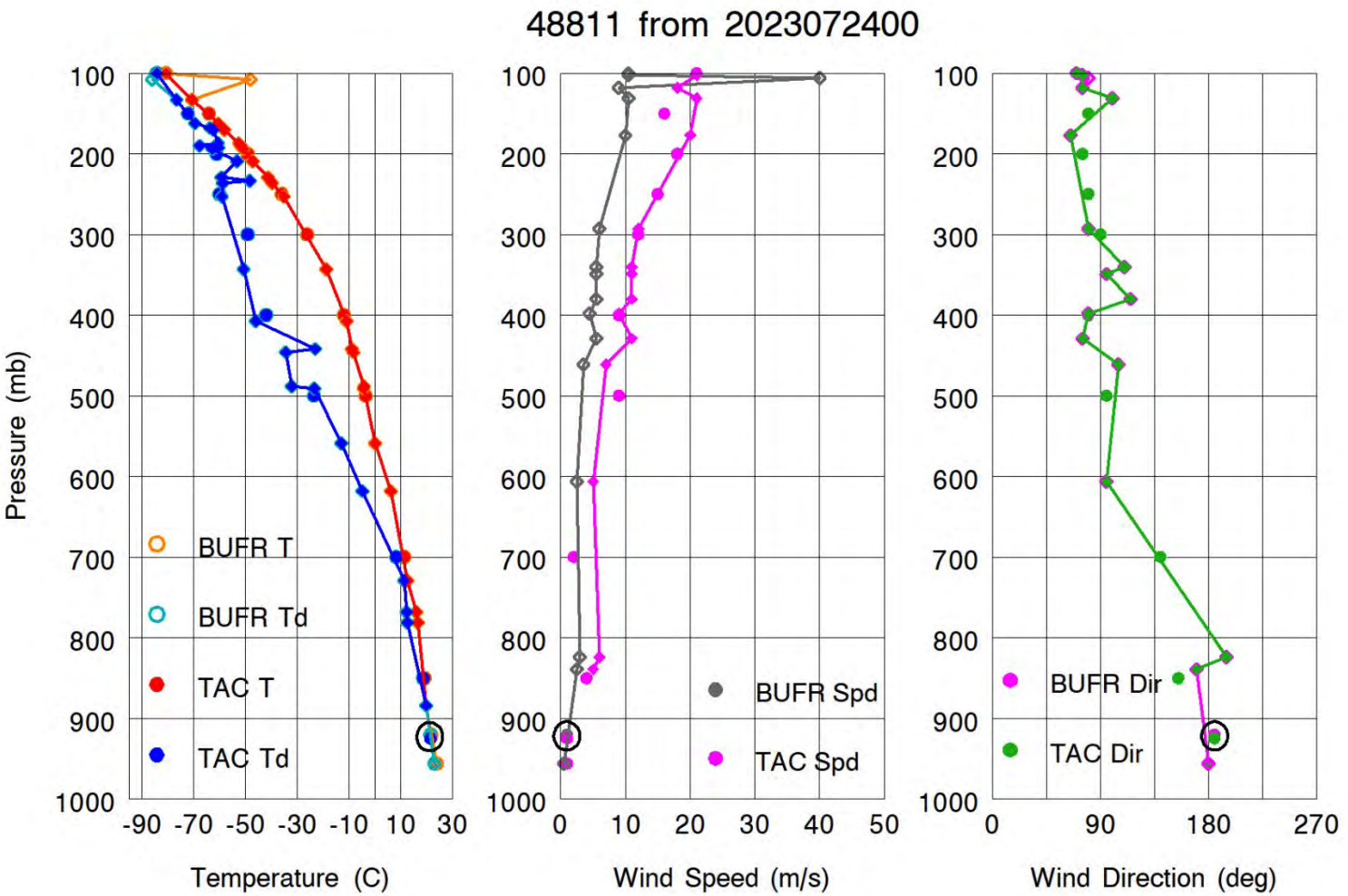
# Error Example



## Dropsonde with “early launch detect” problem

- Dropsonde from NOAA2 flying TS Gordon (9/3/2018)
- BUFR dropsonde messages have one-sec resolution and include GPS drift data
- Dropsonde likely inside pressurized chute at locations in blue
- Launch: 2118Z 3 Sept 2018 at 25.78°N, 84.0°W
- Splash: 2137Z 3 Sept 2018 at 25.50°N, 83.24°W
- BUFR has 1112 “levels” (1s); TAC has 27 levels

# Error Example

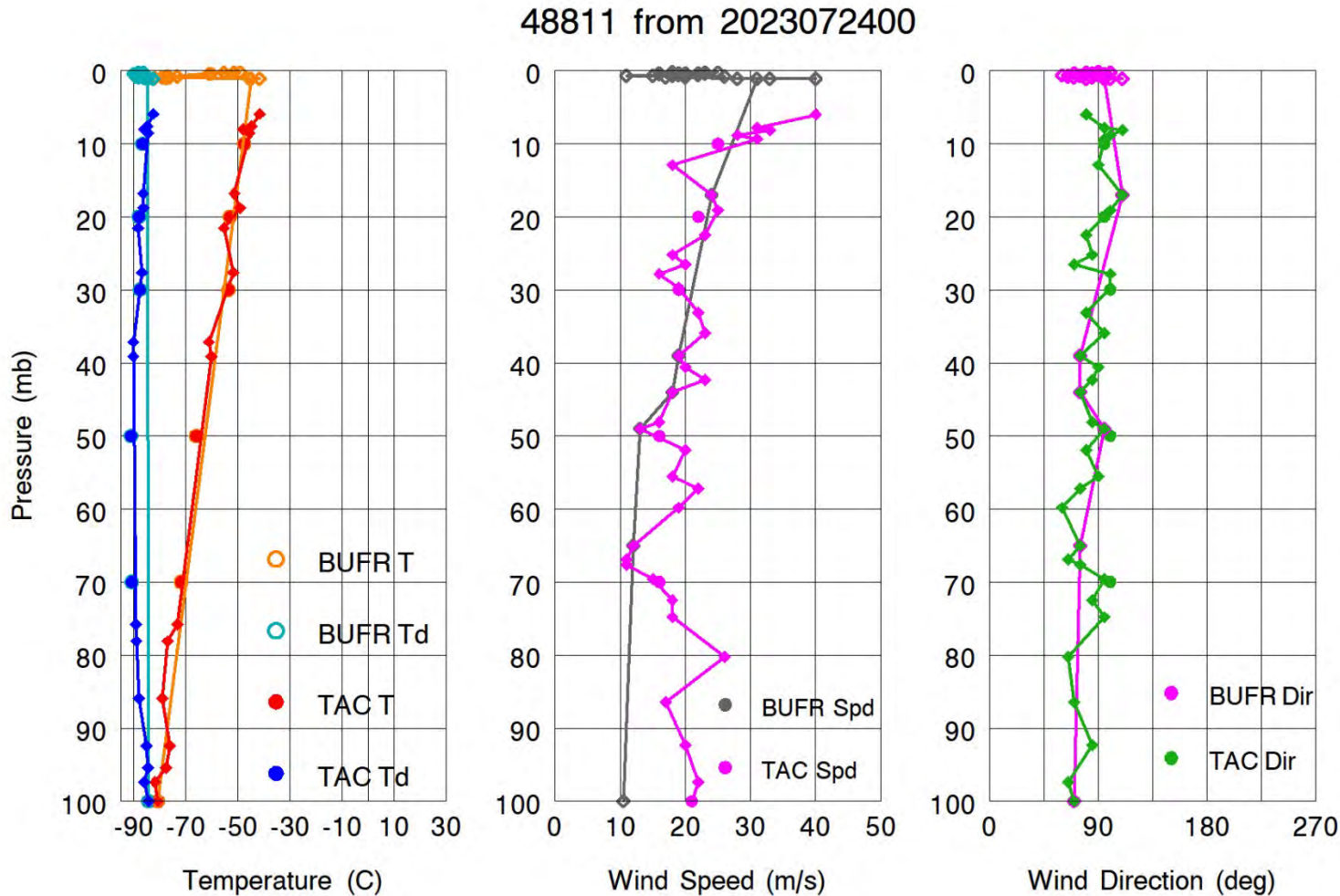


## Encode errors in reformatted BUFR

- BUFR data should be the same as TAC
- 925 hPa mandatory level has a BUFR pressure of 920 hPa
- BUFR Significant-level wind speeds appear to be in m/s while all mandatory-level and TAC significant-level wind speeds appear to be in knots

Below 100 hPa

# Error Example



## Encode errors in reformatted BUFR

- BUFR data should be the same as TAC
- 925 hPa mandatory level has a BUFR pressure of 920 hPa (corrected in QC)
- BUFR significant-level wind speeds below 100 hPa appear to be in m/s while all mandatory-level and TAC significant-level wind speeds appear to be in knots
- BUFR significant-level data above 100 hPa reported with incorrect pressures of 1.1 hPa or less, but with wind speeds that appear to be in knots
- QC rejects obs with pressures  $\leq 1.0$  hPa
- Significant level winds rejected in a country-specific check

Above 100 hPa

# Outline

- Background: traditional vs. high (vertical) resolution radiosonde data
- Radiosonde data in U.S. Navy numerical weather prediction
- Examples of errors in high resolution radiosonde data
- **Upcoming changes**
  - **WIGOS Station Identifiers**

# WIGOS Station Identifiers

- **Some countries are running out of WMO block-station numbers**
  - Five-digit WMO block-station numbers are composed of a two-digit block number (referring to a country or region) followed by a three digit station number.
  - For example, Canada was recycling block station numbers since it had only one block number until recently. Some identifiers were reassigned to a location on the opposite side of the country, creating problems where local stations lists used the old location.
- **WIGOS station identifiers (WSIs) are the solution chosen by the WMO.**
  - WSIs are in four parts with a total length (without dashes) of up to 28 characters.
  - WSIs have no inherent meaning, unlike block numbers assigned by country/region.
  - WSIs can only be used in BUFR, since TAC code forms assume block-station numbers.
  - Block-station numbers are no longer being assigned, so new stations cannot use TAC.

# WIGOS Station Identifiers

WIGOS Identifier Series (number)	Issuer of Identifier (number)	Issue Number (number)	Local Identifier (characters)
0 01 125	0 01 126	0 01 127	0 01 128
4 bits = 2 digits	16 bits = 5 digits	16 bits = 5 digits	128 bits = 16 chars (a-z), (A-Z), (0-9), (-), (_), (.)
Only series "0" has been defined; identifies observing stations	WMO Program or Country/National Identifier (3 digits)	Typically zero for WMO Programs & WMO Co-Sponsored Programs; can range from 0-65535 for national schemas	For WMO Programs & Co-Sponsored Programs, typically the "legacy" WMO Identifier; can be (up to) any 16 char string for national schemas
0	20001	0	72662
0	208	0	04417

# WIGOS Station Identifiers

- **Decoding BUFR observations with WIGOS Station IDs is relatively trivial, however...**
  - Data users will need to update applications to handle the longer WSIs
  - Without updating, applications will not be able to take advantage of observations from new stations/networks that will only be assigned WSIs
- **Will need to work in two worlds**
  - Block-station numbers for TAC observations
  - Mix of block-station numbers and WSIs for BUFR obs
  - OSCAR/Surface allows searches by block-station number or WSI

# WIGOS Station Identifiers

- **Decoding BUFR observations with WIGOS Station IDs is relatively trivial, however...**
- **Will need to work in two worlds**
- **Accounting for long WSIs poses a major challenge for NWP centers and other users**
  - FNMOC's DA systems use 16-character platform identifiers with the station identifier itself limited to 5 characters for radiosonde data
  - Accommodating WSIs poses significant difficulties!
  - One possible way forward: map WSIs to short identifiers in some way
    - e.g., NCEP plans to fit the WSI local identifier in the prepBUFR 8-character id
- **WSI implementation was delegated to the national level to develop country-specific schemas**
  - The U.S. plan is included in Federal Meteorological Handbook #13
  - [https://www.icams-portal.gov/resources/icams/related\\_documents/2021\\_fmh13.pdf](https://www.icams-portal.gov/resources/icams/related_documents/2021_fmh13.pdf)
- **Widespread use of WSI expected to take time, but we need to be ready!**



# WIGOS Station Identifiers

## What we must avoid!

*(Atmospheric Modeling, Data Assimilation and Predictability, Kalnay, 2002)*

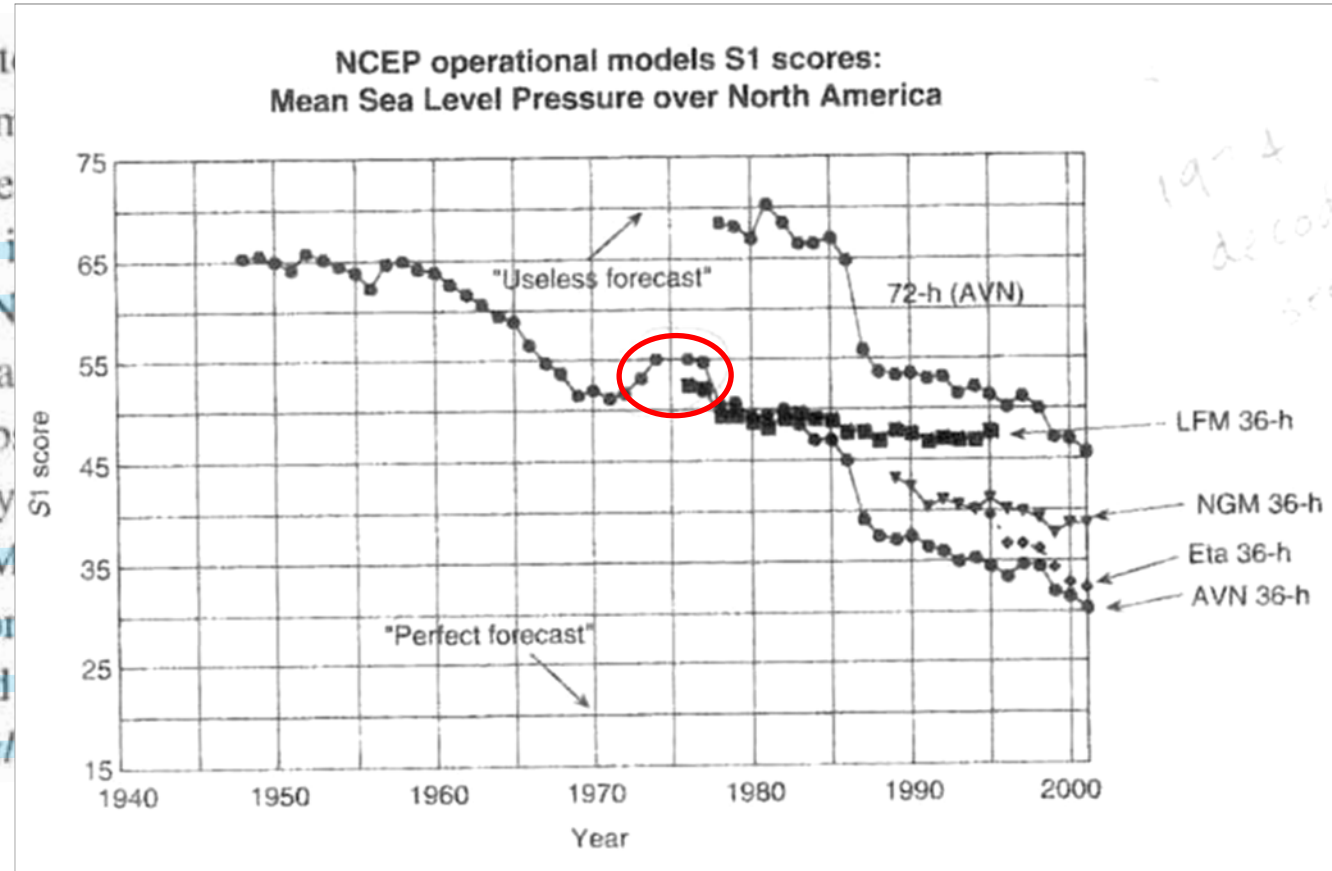
The effect of modern quality control systems is difficult to gauge, but Kistler *et al.* (2001) showed an impressive example of the positive impact from the modern approach compared with the quality control that was operational at NCEP in the 1970s. They pointed out that in 1974 NMC (now NCEP) introduced a modern observation formatting system (known as Office Note 29, ON29), which later became the basis of the official World Meteorological Organization (WMO) Binary Universal Format Representation system for the encoding of observations. ON29 included more information about the observation than previously used encoders. This change in formatting required a complete overhaul of the NMC decoding system, and errors must have been introduced during this complex reprogramming process. The NMC operational forecast skill actually went down and it took a few years before it recovered to the pre-1974 error levels (Kalnay *et al.*, 1998). During the

# WIGOS Station Identifiers

## What we must avoid!

*(Atmospheric Modeling, Data Assimilation and Predictability, Kalnay, 2002)*

The effect of modern quality control systems is difficult to quantify. Kalnay *et al.* (2001) showed an impressive example of the positive impact of a modern approach compared with the quality control that was operational in the 1970s. They pointed out that in 1974 NMC (now NCEP) introduced a new observation formatting system (known as Office Note 29, ON 29) which became the basis of the official World Meteorological Organization Universal Format Representation system for the encoding of observations. This change in formatting required a complete overhaul of the NMC data processing and errors must have been introduced during this complex reprogramming. The NMC operational forecast skill actually went down and did not recover to the pre-1974 error levels (Kalnay *et al.*





Questions?